

### Guideline 8-11

## A Quality Initiative of the Program in Evidence-Based Care (PEBC), Cancer Care Ontario (CCO)

## Patient Indications for Mohs Micrographic Surgery

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An assessment conducted in November 2023 deferred the review of Guideline 8-11. This means that the document remains current until it is assessed again next year. The PEBC has a formal and standardized process to ensure the currency of each document (PEBC Assessment & Review Protocol)

Guideline 8-11 is comprised of 5 sections. You can access the summary and full report here:

https://www.cancercareontario.ca/en/guidelines-advice/types-of-cancer/52161

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# Patient Indications for Mohs Micrographic Surgery

## Section 1: Recommendations

This section is a quick reference guide and provides the guideline recommendations only. For key evidence associated with each recommendation, see <u>Section 2</u>.

#### **GUIDELINE OBJECTIVES**

- a. To describe evidence-based indications for Mohs micrographic surgery (MMS);
- b. To assess Mohs outcomes such as cure rates and recurrence rates, as well as quality of life (QOL) and complications;
- c. To assess whether volume of patients treated affects outcomes of MMS.

#### TARGET POPULATION

Adults with a diagnosis of skin cancer.

#### INTENDED USERS

Clinicians involved in the assessment and treatment of patients with skin cancer.

**NOTE:** Terms used throughout this guideline are as how individual trials and studies reported them. Although this guideline sought to include guidance for all types of skin cancer, comparative studies that met the inclusion criteria were mainly non-melanoma skin cancers. A few comparative studies on other types of skin cancers (i.e., atypical fibroxanthoma, dermatofibrosarcoma protuberans, sebaceous carcinoma, melanoma in situ, and invasive melanoma) were found and are also discussed.

Aside from MMS, other methods of intraoperative peripheral and deep circumferential margin analysis exist and are expected to also provide advantages in comparison to standard excision. However, this guideline focuses exclusively on MMS, WLE, and radiation and did not cover other methods of non-MMS forms of frozen section marginal control. Further, this guideline refers to radical radiotherapy and does not consider adjuvant radiotherapy in its literature review nor does it address metastatic disease.

#### RECOMMENDATIONS

#### Recommendation 1

Surgery (with postoperative or intraoperative marginal assessment), or radiation for those who are ineligible for surgery, should remain the standard of care for patients with skin cancer given the lack of high-quality, comparative evidence.

Qualifying Statements for Recommendation 1

- Eligibility for surgery depends on disease stage, surgical considerations, aesthetic outcomes, patient comorbidities, and patient preference.
- There are various clinical situations where it may be considered appropriate for referral to a radiation oncologist. Based on standards of care and clinical experience, the Working Group suggests that the following clinical situations may be appropriate for referral for radical radiotherapy:
  - 1. Where there is patient preference based on the expected cosmetic or functional outcomes of surgery or anxiety related to surgery;
  - 2. Cases with increased risk of recurrence or extensive subclinical spread with surgery.

Further indications for patients with skin cancer that would be eligible for radiation is beyond the scope of this guideline.

- A multidisciplinary approach is also suggested for high-risk cases.
- For characteristics of patients who would be considered appropriate for referral to a Mohs surgeon, please refer to Recommendation 2.

#### Recommendation 2

MMS is recommended for those with histologically confirmed recurrent basal cell carcinoma (BCC) of the face, and is appropriate for primary BCCs of the face that are >1 cm, have aggressive histology, or are located on the H zone of the face (Figure 1-1).



Figure 1-1. Facial H zone [1]

### Qualifying Statements for Recommendation 2

- There are situations in which MMS may be considered in patients outside of the above recommendation: smaller tumours (<1 cm in diameter) where tissue sparing is of functional or cosmetic significance (this includes tumours in patients with a genetic predisposition to multiple skin cancers, such as Gorlin syndrome); complex tumours that may necessitate margin-controlled surgery; or immunosuppressed patients.
- Patients with complicated BCC or locally advanced BCC should be considered for multidisciplinary assessment by dermatologists, surgical specialists, medical, and radiation oncologists.
- Examples of aggressive histology include basosquamous, morpheaform/sclerosing, micronodular, or infiltrative, as well as lesions with perineural invasion.
- The Working Group recognizes that much of the literature used to inform recommendations is based on BCC; however, based on clinical experience and expert opinion, the Working Group suggests that there are some instances in which patients with squamous cell carcinoma (SCC) may follow the same indications for BCC. However, in cases where SCC is deemed high risk, the need for evaluation by a multidisciplinary team (i.e., dermatologists, surgical specialists, medical, and radiation oncologists) should be considered.
- Patients with aggressive or high-risk nonmelanoma skin cancer may benefit from methods, such as MMS or other intraoperative margin-controlled surgery, which lower recurrence rates. Radiation is also a valuable option in high-risk patients who may have a contraindication to surgery or who may need adjuvant therapy in high-risk disease.
- Patients with dermatofibrosarcoma protuberans, atypical fibroxanthoma, and sebaceous carcinoma have shown benefit in the use of MMS over wide local excision (WLE). The results of these studies are subject to selection bias and were not adequately powered. However, the Working Group notes that although methodologically strong evidence does not exist for rarer types of skin cancer, MMS should be considered on a case-by-case basis.

 Patients with invasive melanoma or melanoma in situ have shown no survival or recurrence benefit in the use of MMS over WLE. These retrospective studies were not adequately powered. A recent guideline by Cancer Care Ontario on primary excision margins in cutaneous melanoma has been published. Please refer to <u>Guideline 8-2</u> <u>Version 2</u> for recommended surgical margins in this population.

#### Recommendation 3

MMS should be performed by physicians who have completed a degree in medicine or equivalent, including a Royal College of Physicians and Surgeons of Canada Specialist Certificate or equivalent, and have received advanced training in MMS.

#### Qualifying Statements for Recommendation 3

- MMS is a surgical technique requiring specific training in the assessment of frozen section histology to detect cutaneous malignancies, the surgical skills of cancer removal, and the reconstruction of cosmetically sensitive areas of the face and other complex areas.
- Advanced training is defined as having a recognized MMS fellowship through the American College of Mohs Surgery, or equivalent accrediting body.

#### Reference

1. Smeets NWJ, Krekels GAM, Ostertag JU, Essers BAB, Dirksen CD, Nieman FHM, et al. Surgical excision vs Mohs' micrographic surgery for basal-cell carcinoma of the face: Randomised controlled trial. Lancet. 2004;364(9447):1766-72.

# Patient Indications for Mohs Micrographic Surgery

## Section 2: Guideline - Recommendations and Key Evidence

#### **GUIDELINE OBJECTIVES**

- d. To describe evidence based indications for Mohs micrographic surgery (MMS);
- e. To assess Mohs outcomes such as cure rates and recurrence rates, as well as quality of life (QOL) and complications;
- f. To assess whether volume of patients treated affects outcomes of MMS.

#### TARGET POPULATION

Adults with a diagnosis of skin cancer.

#### INTENDED USERS

Clinicians involved in the assessment and treatment of patients with skin cancer.

**NOTE:** Terms used throughout this guideline are as how individual trials and studies reported them. Although this guideline sought to include guidance for all types of skin cancer, comparative studies that met the inclusion criteria were mainly non-melanoma skin cancers. A few comparative studies on other types of skin cancers (i.e., atypical fibroxanthoma, dermatofibrosarcoma protuberans, sebaceous carcinoma, melanoma in situ and invasive melanoma) were found and are also discussed.

Aside from MMS, other methods of intraoperative peripheral and deep circumferential margin analysis exist and are expected to also provide advantages in comparison to standard excision. However, this guideline focuses exclusively on MMS, WLE, and radiation and did not cover other methods of non-MMS forms of frozen section marginal control. Further, this guideline refers to radical radiotherapy and does not consider adjuvant radiotherapy in its literature review nor does it address metastatic disease.

#### RECOMMENDATIONS, KEY EVIDENCE, AND INTERPRETATION OF EVIDENCE

| Recommendation 1   |
|--|
| Surgery (with postoperative or intraoperative marginal assessment), or radiation for those   |
| who are ineligible for surgery, should remain the standard of care for patients with skin  |
| cancer given the lack of high-quality, comparative evidence.   |
| Qualifying Statements for Recommendation 1   |
| • Eligibility for surgery depends on disease stage, surgical considerations, aesthetic outcomes, patient comorbidities, and patient preference.  |
| • There are various clinical situations where it may be considered appropriate for referral to a radiation oncologist. Based on standards of care and clinical experience, the Working Group suggests that the following clinical situations may be appropriate for referral for radical radiotherapy: |
| <ol> <li>Where there is patient preference based on the expected cosmetic or functional<br/>outcomes of surgery or anxiety related to surgery;</li> </ol>  |
| 2. Cases with increased risk of recurrence or extensive subclinical spread with surgery.   |
| Further indications for notionts with give concer that would be gligible for rediction is  |

Further indications for patients with skin cancer that would be eligible for radiation is beyond the scope of this guideline.

A multidisciplinary approach is also suggested for high-risk cases. For characteristics of patients who would be considered appropriate for referral to a Mohs surgeon, please refer to Recommendation 2. Key Evidence for Recommendation 1 The evidence comes from three retrospective, comparative studies comparing surgical excision (SE) with radiotherapy in patients with squamous cell carcinoma (SCC) of the lip. There is no evidence comparing MMS with radiation. First, the trial by de Visscher et al. [1] reported similar local recurrence rates for surgery and radiotherapy (3.6% and 4.4%, respectively; p>0.05) in previously untreated patients. Both arms differed statistically in terms of tumour size, differentiation grade, and years of follow-up; patients in the radiotherapy group had a greater tumour size than patients in the surgery group. Regional recurrence rates were significantly lower after surgery than after radiotherapy (4.8% and 12.2%, respectively; p=0.03) though only tumour size carried significance in adjusted analysis. The remaining two studies present unclear methods and results should be interpreted with caution. Babington et al. [2] reported recurrence rates of 53% and 19% for surgery and radiotherapy, respectively. A p-value was not reported. Twenty percent of patients were previously treated elsewhere and many were referred with recurrent disease; however, the distribution of these patients within the current surgery and radiation arms is unclear. Polytomous regression analysis reported that a close ( $\leq 2$  mm) or positive margin in the surgery group predicted local recurrence (p=0.05). • Last, the study by Sarachev et al. [3] reported local recurrence rates of 3.1% and 4.3% for surgery and radiotherapy, respectively. A p-value was not reported. This study provided minimal information on patients who received radiotherapy or about the comparability of treatment groups. Interpretation of Evidence for Recommendation 1 There was agreement among the members of the Working Group that the overall certainty of the evidence was very low and was not generalizable to the entire target population. The Working Group believed that this evidence was insufficient to make recommendations changing the standard of care. The overall quality of the evidence was deemed very low because of indirectness and risk of selection bias in all three studies. The Working Group considered recurrence rate to be the most important outcome, followed by QOL, complications, and cosmesis. Some patient input was sought and patients identified that all of the outcomes mentioned would be important to them in making any treatment decisions. However, few studies collected or reported on QOL, complications, and cosmesis data.

#### Recommendation 2

MMS is recommended for those with histologically confirmed recurrent basal cell carcinoma (BCC) of the face, and is appropriate for primary BCCs of the face that are >1 cm, have aggressive histology, or are located on the H zone of the face (Figure 2-1).



Figure 2-1. Facial H zone [4]

Qualifying Statements for Recommendation 2

- There are situations in which MMS may be considered in patients outside of the above recommendation: smaller tumours (<1 cm in diameter) where tissue sparing is of functional or cosmetic significance (this includes tumours in patients with a genetic predisposition to multiple skin cancers, such as Gorlin syndrome); complex tumours that may necessitate margin-controlled surgery; or immunosuppressed patients.
- Patients with complicated BCC or locally advanced BCC should be considered for multidisciplinary assessment by dermatologists, surgical specialists, medical, and radiation oncologists.
- Examples of aggressive histology include basosquamous, morpheaform/sclerosing, micronodular, or infiltrative, as well as lesions with perineural invasion.
- The Working Group recognizes that much of the literature used to inform recommendations is based on BCC; however, based on clinical experience and expert opinion, the Working Group suggests that there are some instances in which patients with SCC may follow the same indications for BCC. However, in cases where SCC is deemed high risk, the need for evaluation by a multidisciplinary team (i.e., dermatologists, surgical specialists, medical, and radiation oncologists) should be considered.
- Patients with aggressive or high-risk nonmelanoma skin cancer (NMSC) may benefit from methods, such as MMS or other intraoperative margin-controlled surgery, which lower recurrence rates. Radiation is also a valuable option in high-risk patients who may have a contraindication to surgery or who may need adjuvant therapy in high-risk disease.
- Patients with dermatofibrosarcoma protuberans (DFSP), atypical fibroxanthoma (AFX), and sebaceous carcinoma have shown benefit in the use of MMS over wide local excision (WLE). The results of these studies are subject to selection bias and were not adequately powered. However, the Working Group notes that although methodologically strong evidence does not exist for rarer types of skin cancer, MMS should be considered on a case-by-case basis.
- Patients with invasive melanoma or melanoma in situ have shown no survival or recurrence benefit in the use of MMS over WLE. These retrospective studies were not adequately powered. A recent guideline by Cancer Care Ontario (CCO) on primary excision margins in cutaneous melanoma has been published. Please refer to <u>Guideline</u> 8-2 Version 2 for recommended surgical margins in this population.

Key Evidence for Recommendation 2

- The best evidence comes from two randomized controlled trials (RCTs) [4-8].
- MMS has not been shown to be inferior to WLE. Moreover, selected patient populations have been shown to have better outcomes with MMS.
- One RCT has been conducted comparing MMS with SE for BCC [4,6,8]. This RCT included, for primary BCC, patients with a facial tumour of at least 1 cm in diameter, located in the H zone, or of an aggressive histopathological subtype, and, for recurrent BCC, patients with a facial tumour recurring for the first or second time. For primary BCC, no statistically significant differences were found in the recurrence rates between MMS and SE at five years (p=0.397) [6] or 10 years (MMS, 4.4%; SE, 12.2%; p=0.100) [8]. In the management of recurrent BCC, recurrence rates were significantly lower for MMS than SE at both five years (p=0.021) [6] and 10 years (p=0.023) [8]. Aesthetic outcomes did not significantly differ between SE and MMS for both primary and recurrent BCC [4]. However, for tumours that required more than one SE (primary BCC, 18%; recurrent BCC, 32%) or at least two Mohs' stages for complete excision, defects after SE were significantly larger than those after MMS for both primary (p<0.001) and recurrent (p=0.026) BCC [4]. Cosmetic results were significantly poorer as the defect size increased for primary and recurrent BCC. A significant difference was found in the number of complications between MMS (8%) and SE (19%) for patients with recurrent BCC (p=0.021). No difference in complications was found for patients with primary BCC (p=0.681). Although the results were not statistically significant for recurrence rates after 10 years of follow-up for patients with primary BCC, the Working Group suggests that clinicians consider the value of cosmesis in addition to recurrence rates.
- The second RCT involved 30 patients with high-risk BCC. This RCT reported that the median area of surgical defects was significantly smaller after MMS when compared with standard surgery (MMS, 116.6 mm<sup>2</sup>; SE, 187.7 mm<sup>2</sup>; p<0.001) [7]. This trial closed prior to accrual completion as the predetermined endpoint demonstrating a significant difference, a mean defect diameter greater than 1.5 times, was reached.
- Three observational studies (one prospective and two retrospective) compared MMS with SE in patients with BCC and SCC [9-11]. Two studies found no statistical difference in recurrence rates between MMS and SE [9,11], while the third did not report a p-value [10]. However, these studies were not powered to detect differences and the design of the studies allowed for selection bias. The retrospective study by van der Eerden et al. [11] found that defects were smaller after MMS in recurrent NMSC of the nose (p=0.038). This remained true after adjusting for localization and for primary or recurrent disease (p=0.008).
- In the retrospective single-arm study by Flohil et al. [12], a multivariate analysis of patients with BCC of the head and neck who had received MMS found that BCCs located in the H zone, tumours >10 mm, aggressive tumours subtypes, and recurrent tumours remained significantly associated with requiring two or more stages of MMS. Tumour size (≥21 mm), recurrent tumours, and tumours in the H zone remained significant predictors for extensive subclinical tumour spread.
- In another retrospective single-arm study by Batra et al. [13] of 1131 Mohs cases with malignant skin tumours, a multivariate analysis found that the most significant predictors of extensive subclinical spread included any type of BCC on the nose, increasing pre-operative size (≥10 mm), recurrent BCC on the nose, and location on the ear or eyelid.
- Retrospective, comparative studies have shown benefit in the use of MMS over WLE in patients with DFSP in three studies. In one, the difference was statistically significant (p=0.016) [14]; the other two, one of which used the Mohs Tubingen technique, did not report a p-value [15,16]. Retrospective, comparative studies on AFX (p-value not

reported) [17], and sebaceous carcinoma (p-value not reported) [18] have also shown benefit in the use of MMS over WLE. The results of these studies are subject to selection bias and were not powered to detect differences between treatment groups.

• Two retrospective, comparative studies have shown no benefit in the use of MMS over WLE in patients with invasive melanoma [19] or melanoma in situ [20]. These studies were not powered to detect differences between treatment groups.

#### Interpretation of Evidence for Recommendation 2

- There was agreement among the members of the Working Group that the overall certainty of the evidence was moderate for NMSC but very low for other types of skin cancer. The Working Group concluded that evidence with very low overall certainty was insufficient to make definitive recommendations.
- The best evidence comes from two RCTs [4-8]. Based on these RCTs, the overall quality of the evidence was deemed moderate.
- The Working Group considered recurrence rate to be a critical outcome, and QOL, complications, and cosmesis to be important outcomes. Some patient input was sought and patients identified that all of the outcomes mentioned would be important to them in making any treatment decisions. However, few studies collected or reported on QOL, complications, and cosmesis data. The Working Group believes the desirable effects (i.e., decreased recurrence rates) are large compared with the undesirable effects (i.e., complications and adverse cosmetic outcomes) in patients with recurrent BCC. For patients with primary BCC, there may be minimal decrease in recurrence rates with MMS, but a moderate decrease in defect size and few undesirable effects (i.e., complications). Therefore, the Working Group believes the desirable effects are uncertain. However, given that the risk of undesirable effects is anticipated to be small, it is anticipated that patients with a higher risk of recurrence may benefit from MMS compared with SE and may be considered on a case-by-case basis.
- The available evidence is difficult to generalize to all patients with skin cancer because it did not adequately cover non-BCC skin cancers; however, the Working Group recommends, based on expert opinion, that those skin cancers be considered on a case-by-case basis.

#### Recommendation 3

MMS should be performed by physicians who have completed a degree in medicine or equivalent, including a Royal College of Physicians and Surgeons of Canada (RCPSC) Specialist Certificate or equivalent, and have received advanced training in MMS.

#### Qualifying Statements for Recommendation 3

- MMS is a surgical technique requiring specific training in the assessment of frozen section histology to detect cutaneous malignancies, the surgical skills of cancer removal, and the reconstruction of cosmetically sensitive areas of the face and other complex areas.
- Advanced training is defined as having a recognized MMS fellowship through the American College of Mohs Surgery, or equivalent accrediting body.

#### Key Evidence for Recommendation 3

- No studies were found comparing the surgical volume of MMS or training with patient outcomes.
- This recommendation was based on the acknowledgement by the Working Group of the unique specialized skills required for successful conduct of MMS procedures that would not be acquired in a current RCPSC specialist certificate.

#### IMPLEMENTATION CONSIDERATIONS

The Working Group considered these recommendations to be the best possible recommendations given the currently available data and recognized that this guideline will not introduce any new feasibility issues than already exist. It is important to note that MMS is only available in a few urban centres in Ontario (i.e., Toronto, Kingston, and Ottawa), making access to MMS an issue for many patients. There are a limited number of Mohs surgeons in the province, which in part can be attributed to a lack of hospital resources and funding for jobs for clinicians with the appropriate MMS training; these issues have resulted in long wait times. The Working Group recognizes that the mentioned barriers and inequities already exist within the clinical community. These recommendations would validate and align with what providers are currently implementing and would not add new costs to the system. The Working Group believes the outcomes valued in the guideline would align with patient values and that patients would view these recommendations as acceptable.

# Patient Indications for Mohs Micrographic Surgery

## Section 3: Guideline Methods Overview

This section summarizes the methods used to create the guideline. For the systematic review, see <u>Section 4</u>.

#### THE PROGRAM IN EVIDENCE-BASED CARE

The Program in Evidence-Based Care (PEBC) is an initiative of the Ontario provincial cancer system, CCO. The PEBC mandate is to improve the lives of Ontarians affected by cancer through the development, dissemination, and evaluation of evidence-based products designed to facilitate clinical, planning, and policy decisions about cancer control.

The PEBC supports the work of Guideline Development Groups (GDGs) in the development of various PEBC products. The GDGs are composed of clinicians, other healthcare providers and decision makers, methodologists, and community representatives from across the province.

The PEBC is a provincial initiative of CCO supported by the Ontario Ministry of Health and Long-Term Care (OMHLTC). All work produced by the PEBC and any associated Programs is editorially independent from the OMHLTC.

#### BACKGROUND FOR GUIDELINE

Currently in Ontario, the management of aggressive NMSC is often guided by local resources as MMS is only available in few urban centres. The lack of an evidence-based guideline on this topic coupled with a need to develop indications to ensure appropriate patients are deriving benefit and that patients are being treated equitably across the province resulted in the development of this guideline.

#### **GUIDELINE DEVELOPERS**

This guideline was developed by the MMS GDG (Appendix 1), which was convened at the request of the Melanoma Disease Site Group (DSG) and the Surgical Oncology Program.

The project was led by a small Working Group of the MMS GDG, which was responsible for reviewing the evidence base, drafting the guideline recommendations, and responding to comments received during the document review process. The Working Group had expertise in Mohs surgery, radiation oncology, dermatology, medical oncology, head and neck surgery, pathology, cytology, and health research methodology. Other members of the MMS GDG served as the Expert Panel and were responsible for the review and approval of the draft document produced by the Working Group. Conflict of interest declarations for all GDG members are summarized in Appendix 1, and were managed in accordance with the <u>PEBC Conflict of Interest Policy</u>.

#### **GUIDELINE DEVELOPMENT METHODS**

The PEBC produces evidence-based and evidence-informed guidance documents using the methods of the Practice Guidelines Development Cycle [21,22]. This process includes a systematic review, interpretation of the evidence by the Working Group and draft recommendations, internal review by content and methodology experts, and external review by Ontario clinicians and other stakeholders.

The PEBC uses the AGREE II framework [23] as a methodological strategy for guideline development. AGREE II is a 23-item validated tool that is designed to assess the methodological rigour and transparency of guideline development.

The currency of each document is ensured through periodic review and evaluation of the scientific literature and, where appropriate, the addition of newer literature to the original evidence base. This is described in the <u>PEBC Document Assessment and Review Protocol</u>. PEBC guideline recommendations are based on clinical evidence, and not on feasibility of implementation; however, a list of implementation considerations such as costs, human resources, and unique requirements for special or disadvantaged populations is provided along with the recommendations for information purposes. PEBC guideline development methods are described in more detail in the <u>PEBC Handbook</u> and the <u>PEBC Methods Handbook</u>.

#### Search for Existing Guidelines

As a first step in developing this guideline, a search for existing guidelines was undertaken to determine whether an existing guideline could be adapted or endorsed. To this end, the following sources were searched for existing guidelines that addressed the research questions:

- Practice guideline databases: the <u>Standards and Guidelines Evidence Directory of Cancer</u> <u>Guidelines (SAGE)</u>, <u>Agency for Healthcare Research and Quality (AHRQ) National</u> <u>Guideline Clearinghouse</u>, and the <u>Canadian Medical Assciation Infobase</u>.
- Guideline developer websites: <u>National Institute for Health and Care Excellence (NICE)</u>, <u>Scottish Intercollegiate Guidelines Network (SIGN)</u>, <u>American Society of Clinical</u> <u>Oncology (ASCO)</u>, and <u>National Health and Medical Research Council - Australia</u>.

The following criteria were used to select potentially relevant guidelines:

- Guideline databases and websites were searched using the following keyword "Mohs"
- Only evidence-based guidelines published after 2012 (i.e., less than five years old) were considered to ensure currency.

This search did not yield a guideline that could be adapted or endorsed.

#### GUIDELINE REVIEW AND APPROVAL

#### **Internal Review**

For the guideline document to be approved, 75% of the content experts who comprise the GDG Expert Panel must cast a vote indicating whether or not they approve the document, or abstain from voting for a specified reason, and of those that vote, 75% must approve the document. In addition, the PEBC Report Approval Panel (RAP), a three-person panel with methodology expertise, must unanimously approve the document. The Expert Panel and RAP members may specify that approval is conditional, and that changes to the document are required. If substantial changes are subsequently made to the recommendations during external review, then the revised draft must be resubmitted for approval by RAP and the GDG Expert Panel.

#### **External Review**

Feedback on the approved draft guideline is obtained from content experts and the target users through two processes. Through the Targeted Peer Review, several individuals with content expertise are identified by the GDG and asked to review and provide feedback on the guideline document. Through Professional Consultation, relevant care providers and other potential users of the guideline are contacted and asked to provide feedback on the guideline recommendations through a brief online survey. This consultation is intended to facilitate the dissemination of the final guidance report to Ontario practitioners.

#### PATIENT AND CAREGIVER-SPECIFIC CONSULTATION GROUP

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Four participated as Consultation Group members for the MMS GDG. They reviewed copies of the project plan and provided feedback on its comprehensibility, appropriateness and feasibility to the Working Group's Health Research Methodologist. The Health Research Methodologist relayed the feedback to the Working Group for consideration.

#### ACKNOWLEDGEMENTS

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- Ruth Chau and Kristy Yiu for conducting a data audit.
- Sara Miller for copy editing.

# Patient Indications for Mohs Micrographic Surgery

### Section 4: Systematic Review

#### INTRODUCTION

Skin cancer is the most common cancer in Canada. Skin cancer may be divided into cutaneous melanoma, NMSC, and cutaneous lymphoma. Although there are many types of NMSC, the vast majority of cases are either BCC or SCC, so many consider NMSC to be synonymous with the combination of BCC and SCC. In Canada, there were an estimated 6500 new cases of melanoma and 76,100 cases of NMSC in 2014, with 77% of NMSC cases being BCC and 23% being SCC. NMSC accounts for at least 40% of all new cancer cases in Canada but is likely underestimated since most provincial and territorial cancer registries do not routinely collect incidence data on NMSC [24]. These cancers are difficult to register because they may be diagnosed and/or treated in a variety of settings that do not report to the provincial and territorial cancer registries.

NMSC may range from slow-growing superficial skin growths, to invasive, destructive, and fatal metastatic tumours. The majority of BCCs are nonaggressive and may be treated with locally destructive or standard excisional techniques. A smaller percentage of BCC may be invasive in the skin and soft tissues causing local destruction and functional impairment, particularly on the head and neck. An even smaller percentage of BCC may be significantly destructive and progress to regional spread and even metastasis. SCC has the same spectrum of disease severity; however, SCC is much more likely to become aggressive and lead to metastasis and death. More aggressive NMSCs require therapy that will ensure complete removal of the cancerous cells while sparing injury to normal tissue, particularly in functionally or cosmetically sensitive locations. More effective treatments, with higher cure rates and less disturbance of normal tissue, will improve patient QOL, minimize morbidity, and prevent the cost and morbidity of secondary therapies.

Primary NMSC is a contiguous tumour, meaning the cancerous cells start from a central focus and grow outward while remaining attached. For this reason, surgical excision with accurate margin analysis is expected to predict cure. As NMSC becomes more aggressive, the growth may be more difficult to detect but if the true margins are evaluated, an experienced pathologist will determine surgical success in the vast majority of cases. If a NMSC has been treated previously, there is a chance the tumour has been divided into more than one focus and rendered discontiguous. However, the cancerous cells typically remain within the treatment location. NMSC may spread via lymphatics to distant sites, but this guidance document will not address the management of metastatic disease.

There are a variety of terms used for excision in the literature. These include but are not limited to SE, standard SE (SSE), conventional excision (CE), and WLE. These terms will be defined below.

SE resects skin and underlying soft tissue around a skin cancer in an attempt to remove all of the malignant cells and achieve clear margins (i.e., a peripheral and deep rim of normal tissue). The process involves an initial evaluation of the skin lesion and an estimate made of the size, shape, and depth of the tumour. A border of normal skin around the tumour is marked for excision with a scalpel. The clinical margins of excision (i.e., the width and depth of the border beyond the clinical tumour) are chosen based on how accurately the surgeon can estimate the extent of the tumour, and the known success rates of various clinical margins for the tumour in question. SSE, or CE, resects skin and the tissue is then sent for postoperative marginal assessment (POMA). The specimen is oriented, either with a suture or another marker to assist the pathologist, and placed in formalin for POMA. The histology report should comment on the type of skin cancer, the relevant malignant features that impact prognosis, the method of margin evaluation, the involvement of the surgical margins with cancerous cells, and the location or orientation of any positive margins. In most cases, the method of margin evaluation is a breadloaf technique vertically sectioning the specimen. The breadloaf technique, sometimes referred to as on edge margins, examines less than 1% of the true margin.

WLE is a surgical excision using postoperative marginal assessment, which usually has a predetermined margin width based on clinical studies. While technically synonymous with SSE, the word 'wide' in WLE may be confusing the some readers because in some cases the width of excision is only a few millimetres.

Other histologic processing techniques, such as en-face, pre-excision scouting, or staged perimeter are more effective at examining the true margins and predicting cure. However, these techniques are more time intensive and are more commonly used with intraoperative margin analysis (IOMA) as described below.

IOMA, in contrast with POMA, is a surgical excision technique of resecting the skin and deep tissue around and underneath a tumour that is very similar to SSE. The difference is, instead of sending the specimen in a container for histologic assessment at a later time, IOMA is performed at the time of resection and before reconstruction. The specimen is anatomically oriented to identify where tumour may remain along a margin of resection. If cancerous cells remain at any deep or peripheral margin, the anatomic locations corresponding to the positive margins are specifically identified and designated for further resection.

Most methods of SE-IOMA, which include intraoperative frozen sections and MMS, involve en-face processing of tissue. In comparison to breadloaf or on edge margins, en-face margins process the outside face of the specimen to visualize the margins. This takes more time to process but may render close to 100% of the margin for examination, in comparison to often <1% for breadloafing.

MMS is the most common method of SE-IOMA in North America. MMS is an outpatient procedure that has two main components: a) the removal of skin cancer in a minor surgical room, and b) the rapid processing of the specimen by an onsite, dedicated histology laboratory. Using current methods, a surgical excision is performed under local anesthetic with close margins. The specimen is immediately marked with a series of dyes that correspond to the patient's anatomic defect represented by an individualized map. A central debulk may be removed and further tested for upstaging in high-risk cases. The resected area is managed for hemostasis and kept clean and bandaged while the specimen is brought to the laboratory for immediate processing. The histotechnologist mounts the specimen in a horizontal-oblique fashion, which is a version of en-face that allows the peripheral and deep margins to be visualized at the same time. Mohs processing is akin to taking the three-dimensional pie crust (the peripheral and deep margins) and flattening it out to view as a two-dimensional image.

The details of how the specimen is histologically processed to allow complete evaluation of the peripheral and deep margins are beyond the scope of this guideline. Once available for review, which commonly takes between 20 min and 1 h, the Mohs surgeon assesses the histologic slides for evidence of malignancy at the margins. The exact locations of any remaining cancerous cells are mapped on the anatomic diagram, which guides the Mohs surgeon in resecting more tissue from those areas only. The process repeats until all margins are deemed clear of malignancy and only then will the patient move to the next step in the process, reconstruction.

The MMS method, like all IOMA techniques, assumes a prior biopsy has established the diagnosis. Although en-face techniques such as MMS are specifically designed to examine the

peripheral and deep margins of skin specimens with skin cancer, it is also sometimes valuable to have the bulk of the specimen processed for prognostic reasons. In these cases, a central debulk may be sent for pathologic analysis, which may incorporate immunohistochemical analysis if required.

In this review, we consider WLE, CE, and SSE to technically mean the same thing. We will use WLE as default, but if a source study uses another term, such as SSE, we will use that term to remain accurate when describing the study.

In situations where patients are not eligible for surgery, radical radiotherapy is used. This systematic review does not consider adjuvant radiotherapy in its literature review and excludes brachytherapy as it is not routinely performed for skin cancer in Ontario.

The lack of an evidence-based guideline on this topic coupled with a need to develop indications to ensure appropriate patients are deriving benefit and that patients are being treated equitably across the province resulted in the development of this guideline.

The Working Group of the Melanoma DSG developed this evidentiary base to inform recommendations as part of a clinical practice guideline. In the absence of high-quality clinical trials, treatment decisions are made with the best available evidence and expert opinion. This review considers the role of the following interventions for skin cancer: MMS, WLE, and radiation. This guideline does not address treatments typically employed for lower-risk skin cancers such as destructive techniques (e.g., electrodesiccation and curettage, cryotherapy, photodynamic therapy, topical therapy, injectable treatments). Based on the objectives of this guideline (Section 2), the Working Group derived the research questions outlined below.

#### **RESEARCH QUESTIONS**

- 1. Does MMS provide better outcomes than WLE in patients with skin cancer?
  - a) Cure rates, recurrence rates
  - b) QOL
  - c) Complications, cosmesis
- 2. In patients with skin cancer, what are the clinical characteristics or indications that identify groups of patients who derive greater benefit from MMS?
- 3. Does MMS provide better outcomes than radiation in patients with skin cancer?
  - a) Cure rates, recurrence rates
  - b) QOL
  - c) Complications, cosmesis
- 4. Does WLE provide better outcomes than radiation in patients with skin cancer?
  - a) Cure rates, recurrence rates
  - b) QOL
  - c) Complications, cosmesis
- 5. Does surgical volume of MMS predict for better outcomes in patients with skin cancer?

#### METHODS

This evidence review was conducted in two planned stages, including a search for systematic reviews followed by a search for primary literature. These stages are described in subsequent sections.

#### Search for Existing Systematic Reviews

A search was conducted for existing systematic reviews. This included original systematic reviews and systematic reviews published as a component of practice guidelines. The MEDLINE (1946 to August 4, 2017) and EMBASE (1974 to August 4, 2017) databases, as well as the Cochrane Database of Systematic Reviews (2005 to August 4, 2017) were searched for published systematic reviews. The full search strategy is available in Appendix 2.

#### Search for Primary Literature

In the absence of any relevant, comprehensive systematic reviews, a search was conducted for primary literature. The MEDLINE and EMBASE databases were searched for published RCTs as well as prospective and retrospective comparative and noncomparative studies based on the inclusion criteria for each question outlined below. Questions involving MMS were searched beginning 1970 as it was known to be the beginning of the modern Mohs technique, while the question involving WLE and radiation was searched beginning 1990 as it was known that no relevant studies existed before this time. The full search strategy is available in Appendix 2. Reference lists of included primary literature were screened for additional, relevant citations.

- Years covered:
  - Questions 1, 2, 3, and 5 1970 to August 4, 2017
  - Question 4 1990 to August 4, 2017

#### Literature Search Strategy

Study Selection Criteria and ProcessFor the following research questions:1. Does MMS provide better outcomes than WLE?3. Does MMS provide better outcomes than radiation?

#### Inclusion Criteria

•RCTs, prospective and retrospective comparative studies comparing MMS with WLE or with radiation with  $\geq$ 30 participants in each arm reporting on any of the following outcomes: recurrence rate, cure rate, QOL, complications, and cosmesis; and •Studies assessing adult patients with skin cancer

•Studies assessing adult patients with skin cancer.

Exclusion Criteria

•Studies with brachytherapy as a type of radiation. If studies included mixed types of radiation in the radiotherapy arm, studies with  $\geq$ 20% of patients receiving brachytherapy were excluded; or

•Abstracts of nonrandomized studies; or

- Papers or abstracts not available in English; or
- •Letters and editorials that reported clinical trial outcomes; or
- Papers and abstracts published before 1970.

For the following research question:

2. In patients with skin cancer, what are the clinical characteristics or indications that identify groups of patients who derive greater benefit from MMS?

Inclusion Criteria

•RCTs, prospective and retrospective comparative studies (comparing MMS with surgery or radiation) with  $\geq$ 30 participants in each arm;

•Prospective or retrospective single-arm studies of MMS with  $\geq$ 100 participants which have conducted a multivariate analysis; and

• Studies assessing adult patients with skin cancer.

#### **Exclusion Criteria**

- •Abstracts of nonrandomized studies; or
- Papers or abstracts not available in English; or
- •Letters and editorials that reported clinical trial outcomes; or
- Papers and abstracts published before 1970.

For the following research question:

4. Does WLE provide better outcomes than radiation?

#### Inclusion Criteria

- Randomized controlled trials, prospective and retrospective comparative studies comparing surgery with radiation with ≥30 participants in each arm reporting on any of the following outcomes: recurrence rate, cure rate, QOL, complications, and cosmesis; and
- Studies assessing adult patients with skin cancer.

#### **Exclusion Criteria**

- Studies with brachytherapy as a type of radiation. If studies included mixed types of radiation in the radiotherapy arm, studies with ≥20% of patients receiving brachytherapy were excluded; or
- Abstracts of nonrandomized studies; or
- Papers or abstracts not available in English; or
- Letters and editorials that reported clinical trial outcomes; or
- Papers and abstracts published before 1990.

For the following research question:

5. Does surgical volume of MMS predict for better outcomes?

#### Inclusion Criteria

- RCTs, prospective and retrospective comparative studies (comparing MMS with surgery or radiation) with ≥30 participants in each arm assessing surgical volume in relation to any of the following outcomes: recurrence rate, cure rate, QOL, complications, and cosmesis;
- Prospective or retrospective single-arm studies with ≥100 participants assessing surgical volume in relation to any of the outcomes listed above; and
- Studies assessing adult patients with skin cancer.

#### **Exclusion Criteria**

- Abstracts of nonrandomized studies; or
- Papers or abstracts not available in English; or
- Letters and editorials that reported clinical trial outcomes; or
- Papers and abstracts published before 1970.

A review of the titles and abstracts that resulted from the search was conducted by one reviewer (DS). For items that warranted full-text review, one reviewer (DS) reviewed each item.

#### Data Extraction and Assessment of Study Quality and Potential for Bias

Data extraction was conducted by one reviewer (DS) and audited by a second independent auditor (KY).

Important quality features, such as statistical power calculations, sample size, methods of randomization, allocation concealment, blinding, intention to treat analysis, and source of funding were extracted for randomized studies. Criteria from the Cochrane Risk Of Bias in Nonrandomized Studies of Interventions (ROBINS-I) tool were used to assess the risk of bias for all non-randomized studies.

Criteria from the GRADE method were used to assess the aggregate quality of the evidence for RCTs and non-RCTs. Four factors were assessed for each outcome in each comparison: risk of bias, inconsistency, indirectness, and imprecision.

#### Synthesizing the Evidence

A meta-analysis was not planned due to the heterogeneity across trials.

#### RESULTS

#### Search for Existing Systematic Reviews

No relevant systematic reviews were identified. Systematic reviews were excluded for not including study types of interest and including studies with less than 30 patients on each arm among other differences in the inclusion criteria.

#### Search for Primary Literature

In the absence of any relevant systematic reviews, the primary literature search was undertaken as planned.

#### Literature Search Results

A total of two relevant RCTs [4-8], three prospective comparative studies [9,25-30], 14 retrospective comparative studies [1-3,10,11,14-20,31,32] and two retrospective single-arm studies [12,13] were included. Table 4-1 summarizes the characteristics of the included studies by question and results of the included studies are presented in Tables 4-2 and 4-3. A PRISMA flow diagram of the complete search is available in Appendix 3. Three studies, which met the inclusion criteria, provided conflicting results within their publications (e.g., values were reported differently in the abstract and main text, reported values were different between the tables and main text) and as a result will not be discussed [33-35].

Due to the absence of studies comparing MMS with radiation, an additional research question was later added to compare surgery with radiation. Further, once the MMS data were obtained for the question on patient indications, a decision to include single-arm studies with multivariate analyses was made.

| Study  | Type of skin<br>cancer     | Intervention    | Number of<br>skin tumours<br>evaluated   | Inclusion criteria  | Exclusion criteria  | Notes   | Included<br>for<br>research<br>question |
|--|----------------------------|-----------------|--|---|---|---|---|
| Randomized of  | controlled trial           |                 |  |   |   |   | -                                       |
| Smeets<br>NWJ (2004)<br>[4]<br>Mosterd K<br>(2008)<br>[6]<br>Van loo E<br>(2014)<br>[8]<br>Essers B<br>(2007)<br>[5] | BCC of the<br>face         | SE<br>MMS       | Primary<br>tumours<br>198 MMS, 199<br>SE<br>Recurrent<br>tumours<br>99 MMS, 102 SE | Primary BCC: At least one<br>untreated, histologically<br>confirmed, facial tumour of<br>at least 1 cm in diameter,<br>located in the H zone; or an<br>aggressive histopathological<br>subtype (morpheaform,<br>micronodular, BCC with<br>squamous differentiation,<br>trabecular, infiltrative)<br>Recurrent BCC: At least one<br>histologically confirmed,<br>facial tumour recurring for<br>the first or second time | Patients with a life<br>expectancy of less<br>than 3 years.   | Surgical team of<br>dermatologists who<br>had equal<br>experience in SE<br>and MMS.   | 1 and 2                                 |
| Muller FM<br>(2009)<br>[7]   | BCC                        | SE<br>MMS       | 15 pts<br>15 pts   | Patients with a clinical<br>diagnosis of a nodular BCC<br>less than 1 cm in diameter at<br>least 1 cm away from the<br>eyelids and nose.  | Patients who were<br>immunosuppressed;<br>tumours that were<br>superficial,<br>recurrent,<br>morpheic, or<br>infiltrative; inability<br>to comply with<br>instructions.                       | A dermatology<br>trainee undergoing<br>training in<br>dermatologic<br>surgery performed<br>all excision in SE<br>group. An<br>experienced Mohs<br>surgeon performed<br>MMS. | 1 and 2                                 |
| Prospective,   |                            |                 |  |   | 1 -   |   |   |
| Asgari MM<br>(2009)<br>[36]<br>Chren MM<br>(2004)<br>[28]<br>Chren MM<br>(2007)<br>[27]                              | Nonmelanoma<br>skin cancer | Excision<br>MMS | Each<br>publication<br>evaluated a<br>different<br>subgroup of<br>patients         | Patients with a final<br>histopathologic diagnosis of<br>non-recurrent NMSC in 1999<br>and 2000 at a university-<br>affiliated dermatology<br>practice or the nearby<br>affiliated Veterans Affairs<br>Medical Center.  | Patients younger<br>than 18 years; if<br>their records were<br>protected because<br>they were<br>employees; if they<br>had a previous skin<br>cancer diagnosed<br>during the study<br>period. | -   | 1 and 2                                 |

Table 4-1. Studies selected for inclusion

| Study  | Type of skin<br>cancer | Intervention   | Number of<br>skin tumours<br>evaluated | Inclusion criteria   | Exclusion criteria  | Notes  | Included<br>for<br>research<br>question |
|--|------------------------|--|--|--|---|--|---|
| Chren MM<br>(2011)<br>[29]                   |                        |  |  |  |   |  | •                                       |
| Chren MM<br>(2013)<br>[9]                    |                        |  |  |  |   |  |   |
| 0'Neill J<br>(2014)<br>[30]                  | -                      | Excision<br>MMS  | 353 tumours<br>1525 tumours            | Patients undergoing any<br>dermatologic surgery<br>procedure at Advanced<br>Dermatology & Cosmetic<br>Surgery, Florida and Wake<br>Forest University<br>Dermatologic Surgery, North  | -   | -  | 1 and 2                                 |
| Bordeaux JS<br>(2011)<br>[26]                | -                      | SE<br>MMS  | 542 tumours<br>1369 tumours            | All patients presenting to the<br>University of Massachusetts<br>Medical School Dermatology<br>Clinic from March 15, 2006 to<br>June 15, 2007 undergoing<br>MMS or scalpel-based<br>excisional surgery requiring<br>sutures. | Patients undergoing<br>punch biopsies,<br>electrodessication<br>and curettage,<br>shave biopsies, and<br>shave excisions. | Surgeries<br>performed by 4<br>general<br>dermatologists, 2<br>fellowship trained<br>MMS attendings,<br>and 2 MMS fellows. | 1 and 2                                 |
| Retrospective                                | e, comparative stu     | ıdies  |  |  |   |  |   |
| van der<br>Eerden PA<br>(2010)               | NMSC<br>(BCC and SCC)  | Conventional excision  | 709 tumours                            | All patients treated for NMSC<br>from 1990-2008 in one<br>tertiary referral centre.  | -   | One facial plastic<br>surgeon and 5<br>histopathologists.  | 1 and 2                                 |
| [11]<br>Jebodhsing<br>h KN<br>(2012)<br>[32] | Periocular BCC         | MMS<br>Mohs frozen<br>sections<br>negative<br>margins<br>Permanent<br>sections with<br>negative<br>margins | 795 tumours<br>43 pts<br>259 pts       | All patients who had surgery<br>for periocular BCC performed<br>by a single surgeon, between<br>January 1, 1995 and January<br>1, 2005, at McMaster<br>University in Hamilton, ON.   | -   | -  | 1 and 2                                 |
|  |                        | Permanent sections with  | 87 pts                                 |  |   |  |   |

| Study                       | Type of skin<br>cancer  | Intervention        | Number of<br>skin tumours<br>evaluated | Inclusion criteria  | Exclusion criteria   | Notes                                 | Included<br>for<br>research<br>question |
|-----------------------------|-------------------------|---------------------|--|---|--|---------------------------------------|---|
|                             |                         | positive<br>margins |  |   |  |                                       |   |
| Hou JL<br>(2014)<br>[18]    | Sebaceous<br>carcinoma  | WLE<br>MMS          | 26 tumours<br>35 tumours               | Patients with sebaceous<br>carcinoma seen at one<br>institution between January               | -  | -                                     | 1 and 2                                 |
|                             |                         |                     |  | 1, 1992 and April 20, 2012.   |  |                                       |   |
| Chin-Lenn L<br>(2013)       | Melanoma of<br>the face | WLE                 | 91 pts                                 | Patients registered by the<br>Alberta Cancer Registry as                                      | In situ component only; melanomas of   | A single physician performed all MMS. | 1 and 2                                 |
| [19]                        |                         | MMS                 | 60 pts                                 | diagnosed with invasive<br>melanoma of the face from<br>1997 to 2007.                         | the scalp, ear,<br>neck, or mucosal<br>membranes;<br>histologically<br>positive margins;<br>follow-up duration<br>less than 2 months<br>or incomplete data |                                       |   |
| Nosrati A<br>(2017)<br>[20] | Melanoma in<br>situ     | WLE<br>MMS          | 385 pts<br>277 pts                     | Patients with a biopsy<br>demonstrating melanoma in<br>situ treated with either WLE<br>or MMS | Patients with<br>invasive disease or<br>multiple melanoma  |                                       | 1 and 2                                 |
| Paradisi A<br>(2008)        | DFSP                    | WLE                 | 38 pts                                 | 81 consecutive patients with DFSP treated at 3 institutions                                   | -  | -                                     | 1 and 2                                 |
| [14]                        |                         | MMS                 | 41 pts                                 | between February 1990 and<br>December 2005.   |  |                                       |   |
| Lowe GC<br>(2016)           | DFSP                    | WLE                 | 104 pts                                | Patients with primary and recurrent DFSP treated at the                                       | Patients not<br>surgically treated   |                                       | 1 and 2                                 |
| [15]                        |                         | MMS                 | 82 pts                                 | Mayo Clinic from January 1,<br>1955 through March 31, 2012.                                   | with WLE or MMS.   |                                       |   |
| Veronese F<br>(2017)        | DFSP                    | WLE                 | 62 pts                                 | Patients with histologically confirmed DFSP at two  | -  | -                                     | 1 and 2                                 |
| `[16]´                      |                         | Mohs<br>Tubingen    | 73 pts                                 | institutions in Italy between<br>January 1997 and December<br>2014.                           |  |                                       |   |
| Ang GC<br>(2009)            | AFX                     | WLE                 | 23 tumours                             | All cases of AFX treated at an institution from 1980 to 2004.                                 | -  | -                                     | 1 and 2                                 |
| [17]                        |                         | MMS                 | 59 tumours                             |   |  |                                       |   |

| Study                             | Type of skin<br>cancer              | Intervention   | Number of<br>skin tumours<br>evaluated | Inclusion criteria  | Exclusion criteria   | Notes  | Included<br>for<br>research<br>question |
|-----------------------------------|-------------------------------------|--|--|---|--|--|---|
|                                   |                                     |  | 91 pts                                 |   |  |  |   |
| Cook Jr. BE<br>(1999)<br>[10]     | Malignant<br>eyelid<br>tumours      | SE + frozen<br>section<br>SE w/o<br>frozen<br>section<br>MMS | 87 pts<br>52 pts<br>32 pts             | Olmstead County residents<br>who had a newly diagnosed<br>malignant eyelid tumour<br>between Jan 1, 1976 and Dec<br>31, 1990, inclusive.  | -  | -  | 1 and 2                                 |
| Hansen JP<br>(2008)<br>[31]       | SCC in situ<br>(Bowen's<br>disease) | Elliptical<br>excision<br>MMS                                | 109 tumours<br>83 tumours              | Patients with a histologically<br>confirmed diagnosis of<br>Bowen's disease seen at the<br>University of Iowa Hospitals<br>and Clinics Department of<br>Dermatology between Jan<br>1999 and Jan 2003. | Tumours associated<br>with HPV, found on<br>mucous membranes<br>or genitalia or<br>found within or at<br>the margins of an<br>invasive skin<br>malignancy (such as<br>SCC or BCC). |  | 1 and 2                                 |
| Babington S<br>(2003)<br>[2]      | SCC of the lip                      | Surgery<br>Radiotherapy                                      | 51 pts<br>62 pts                       | Patients with histologically<br>confirmed SCC arising from<br>the vermilion of the lip and<br>were treated with radical<br>intent during 1980 to 2000 at<br>Westmead Hospital.                        | -  | Radiotherapy<br>included<br>orthovoltage and<br>superficial<br>radiation therapy.                                | 4                                       |
| de Visscher<br>J<br>(1999)<br>[1] | SCC of the<br>lower lip             | Surgery<br>Radiotherapy                                      | 166 pts<br>90 pts                      | Previously untreated patients<br>with stage I primary SCC of<br>the lower lip between 1980<br>and 1994 at Medical Centre<br>Leeuwarden and<br>Radiotherapie Institute<br>Friesland.                   | -  | Radiotherapy<br>included<br>orthovoltage,<br>electron beam<br>therapy, contact<br>therapy, and<br>brachytherapy. | 4                                       |
| Sarachev E<br>(2001)              | SCC of the<br>lower lip             | Surgery  | 184 pts                                | Patients with stage I SCC of the lower lip from South   | -  | -  | 4                                       |

| Study                       | Type of skin<br>cancer    | Intervention | Number of<br>skin tumours<br>evaluated | Inclusion criteria  | Exclusion criteria | Notes  | Included<br>for<br>research<br>question |
|-----------------------------|---------------------------|--------------|--|---|--------------------|--|---|
| [3]                         |                           | Radiotherapy | 592 pts                                | Bulgaria between 1985 and<br>1999.  |                    |  |   |
| Retrospective               | e, single-arm stud        | ies          |  |   | •                  |  |   |
| Batra RS<br>(2002)<br>[13]  | Malignant skin<br>tumours | MMS          | 1131 tumours                           | Patients with malignant skin<br>tumours referred for MMS at<br>the Department of<br>Dermatology at the Beth<br>Israel Deaconness Medical<br>Center between July 1996<br>and July 1999.        | -                  | All patients excised<br>by the same Mohs<br>surgeon.       | 2                                       |
| Flohil SC<br>(2013)<br>[12] | BCC                       | MMS          | 1464 tumours                           | BCCs located in the head and<br>neck and treated with MMS<br>between January 2006 and<br>December 2009 at the<br>Department of Dermatology<br>of the Erasmus MC University<br>Medical Center. | -                  | Performed by a<br>Mohs surgeon and a<br>dermatopathologist | 2                                       |

Abbreviations: AFX: Atypical fibroxanthoma, BCC: Basal cell carcinoma, DFSP: dermatofibrosarcoma protuberans, HPV: human papillomavirus, MMS: Mohs micrographic surgery, NMSC: nonmelanoma skin cancers, pts: patients, SCC: squamous cell carcinoma, SE: surgical excision, WLE: wide local excision, w/o: without; -: not reported

#### *Study Design and Quality Quality of Individual Trials* <u>RCTs</u>

Quality characteristics were assessed for both RCTs and are reported in Appendix 4. All published reports of the trials were searched for the necessary information. Power and required sample size were calculated and reported in both trials [4,6-8]. The RCT by Smeets et al. [4] consisted of a five-year and 10-year follow up with 205 tumours lost to follow-up at five years and 380 tumours at 10 years. While this study noted it followed the intention to treat principle, the number of patients randomized to their respective treatment groups were not used in the calculations but rather the number of patients that received treatment.

The RCT by Muller et al. [7] was ended early because the predetermined stopping rule was met (i.e., the mean defect diameter in one group was greater than 1.5 times that in the other group). The primary outcome of this trial was the size of defect after MMS or standard surgery and while patient or clinical blinding was not possible, the calculation of defect sizes was performed by an individual unaware of defect sizes.

#### Non-RCTs

This document includes 17 non-randomized comparative studies that were each assessed using the ROBINS-I tool and are reported in Appendix 5. All published reports of the studies were searched for the necessary information. Overall, nine [11,14,15,17-19,26,28,32] of the included nonrandomized studies were assessed as having a serious risk of bias and eight [1-3,10,16,20,30,31] as having a moderate risk of bias.

#### Quality of the Aggregate Evidence

According to GRADE, observational studies without special strengths of important limitations provide low-quality evidence.

The best evidence for WLE compared with MMS and for patient indications for MMS comes from two RCTs, which were used to assess the overall quality. The quality of the evidence is moderate, marked down for risk of bias.

The quality of the aggregate evidence for WLE compared with radiotherapy is very low and was marked down due to risk of bias (retrospective studies) and indirectness.

#### Outcomes

Question 1: Does MMS provide better outcomes than WLE? a) cure rates, recurrence rates; b) QOL; c) complications, cosmesis

Seventeen comparative studies (two RCTs, three prospective and 11 retrospective) were found that compared MMS with WLE.

#### a) Cure rates, recurrence rates

One RCT [4,6,8], one prospective comparative trial [9] and 11 retrospective comparative studies [10,11,14-20,31,32] reported on recurrence rates.

One RCT compared MMS and SE [4,6,8]. This RCT studied the effect of MMS and SE in primary and recurrent BCC of the face. No statistically significant differences were found in the recurrence rates between MMS and SE for primary BCC at five years (MMS, 2.5%; SE, 4.1%; p=0.397) [6] or 10 years (MMS, 4.4%; SE, 12.2%; p=0.100) [8]. However, for recurrent BCC, recurrence rates were significantly lower for MMS than SE at both five years (MMS, 2.4%; SE, 12.1%; p=0.015) [6] and 10 years (MMS, 3.9%; SE, 13.5%; p=0.023) [8]. It is important to note that approximately 35% to 40% of tumours completed follow-up at 10 years. For those with primary BCC, the mean age of patients being lost to follow-up was significantly higher than

patients who completed the 10-year follow-up (p<0.001). When controlling for possible confounding factors (i.e., tumour localizations in the H zone, previous therapy, first or second recurrent BCC, tumour size, and aggressive histological subtype) in a regression analysis, treatment modality remained statistically significant (p=0.038).

In a prospective comparative study by Chren et al. [9] for nonrecurrent NMSC (i.e., BCC and SCC), there was no statistical difference in the hazard of tumour recurrence for MMS compared with SE in adjusted models or in propensity-matched pairs at five years.

Of the 11 retrospective studies, median follow-up ranged from 16 months to 10 years. Three retrospective studies reported on BCC and SCC [10,11,32]. The first study [11] reported no difference in recurrence rates between those treated with MMS and SE (p=0.78); however, the majority of patients with tumours on the nose or biopsy-proven aggressive BCC were treated with MMS. The second study [10] to report on BCC and SCC (90.8% of patients with BCC, 8.6% of patients with SCC, and 0.6% with malignant melanoma) found that patients treated with MMS had lower recurrence rates (3.1%) when compared with patients treated with excision with frozen section control (5.7%) but higher excision rates when compared with patients treated with excision without frozen section control (0%). A p-value was not reported. The third study by Jebodhsingh et al. [32] found a statistically significant difference for recurrence-free survival rates between patients who had Mohs frozen section with negative margins (92%; 95%) confidence interval [CI], 81 to 100), permanent sections with positive margins (80%; 95% CI, 66 to 93]) and permanent sections with negative margins (87%; 95% CI, 76 to 98]; p=0.030). However, when adjusted for age the p-value was not statistically significant (p=0.088). It is also important to note patients were not distributed equally among the three groups with 67% of patients belonging to the permanent sections with negative margins group and that patients who received Mohs surgery were considered to have more serious cases.

Of the eight remaining studies, three studies [14-16] looked at DFSP. The study by Paradisi et al. [14] found that in patients with DFSP, those who received MMS had significantly lower local recurrence rates (0%; 95% CI, 0.0 to 8.6) than those who received WLE (13.2%; 95% CI, 4.4 to 28.1; p=0.016). No patients were found to have distant or regional metastases and characteristics of patients were not significantly different between the two arms. In the study by Lowe et al. [15], the recurrence rate in patients who received WLE was 30.7% while the recurrence in rate in patients who received MMS was 3.0%. Recurrence-free survival rates at four, 10, and 15 years were significantly higher with MMS (p<0.001). Postoperative defect sizes were significantly lower with MMS (mean  $\pm$  standard deviation [SD], 8.8 $\pm$ 5.5 cm) than with WLE (mean  $\pm$  SD, 10.7 $\pm$ 4.3 cm; p=0.004), The third study on DFSP [16] compared WLE with the Mohs Tubingen technique - a slow Mohs-like technique. In that study, 90.4% of the tumours were primary and 9.6% were nonprimary. After a median follow-up time of 4.7 years for patients who received WLE and nine years for those who received the Mohs Tubingen technique, the recurrence rates were 8.1% and 5.5%, respectively. A p-value was not reported.

In studying AFX, Ang et al. [17] found lower recurrence rates in patients treated with MMS (0%) compared with WLE (8.7%); however, a p-value was not reported. Further, the median size of tumours treated using MMS were larger than those treated using WLE (1.5 cm and 1.0 cm, respectively; p=0.02). Similarly, in a study by Hou et al. [18] on primary sebaceous carcinoma, lower recurrence rates were reported in patients treated with MMS (2.9%) than in patients treated with WLE (3.8%); however, a p-value was not reported. Hansen et al. [31] studied Bowen's disease and estimated five-year recurrence rates of 6.3% (95% CI, 2.4 to 14.7) for MMS, 9.0% (95% CI, 3.7 to 19.4) for shave excision, and 5.5% (95% CI, 2.2 to 12.4) for elliptical excision.

Last, two studies reported on melanoma [19,20]. Chin-Lenn et al. [19] reported on invasive melanoma and found no statistically significant differences for local (MMS, 6.2%; WLE, 7.7%; p=0.58) and regional (MMS, 8.7%; WLE, 18.9%; p=0.37) five-year recurrences rates

between WLE and MMS. The treatment arms were not balanced with MMS being used more frequently in women (p=0.02) and those with melanoma on the nose (p=0.001). Nosrati et al. [20] reported on patients with melanoma in situ and found no statistically significant difference in recurrence rates between patients who received WLE and MMS (p=0.07). There were significant differences in anatomic site of tumour between patients who received MMS and WLE (p<0.001). The majority of the patients who received MMS had tumours on the head and neck while the majority of the patients who received WLE had tumours on the trunk or extremities.

#### b) QOL

One prospective comparative study reported on QOL.

In the study by Chren et al. [27], 633 patients from the original prospective cohort were used to study QOL outcomes using the 16-item version of Skindex but the methods for selecting this subset was not clear. SE and MMS did not differ in their effects on any domain of tumour-related QOL (i.e., symptoms, emotions, or functioning), even after patients were matched for propensity of treatment. Using a larger subset of patients from the original prospective cohort (n=834), Asgari et al. [25] measured long-term satisfaction (i.e., 12 months after therapy) to an item derived from the 18-item Patient Satisfaction Questionnaire (i.e., I am completely satisfied with the treatment of my skin problem). In the 315 patients treated with excision or MMS, the odds of higher long-term satisfaction was independently associated with younger age, better pretreatment mental health status and skin-related QOL, and treatment with MMS.

c) Complications and cosmesis

One RCT reported on both complications and cosmesis while another reported on cosmesis, three prospective comparative studies reported on complications, and one retrospective comparative study reported on cosmesis.

The RCT by Smeets et al. [4] found that aesthetic outcomes did not significantly differ between SE and MMS for both primary and recurrent BCC. However, for tumours that required more than one SE or at least two MMS stages for complete excision, the mean defect size after incomplete excision was significantly larger than after MMS for both primary (SE,  $8.66\pm4.15$ mm<sup>2</sup>; MMS,  $4.86\pm7.55$  mm<sup>2</sup>); p<0.001) and recurrent (SE,  $14.52\pm15.28$  mm<sup>2</sup>; MMS,  $7.95\pm8.11$ mm<sup>2</sup>; p=0.026) BCC. Cosmetic results were significantly poorer as the defect size increased for primary (p<0.001) and recurrent (p=0.001) BCC. In another RCT involving 30 patients with highrisk BCC, the median area of surgical defects was significantly smaller after MMS when compared with standard surgery (MMS, 116.6 mm<sup>2</sup>; SE, 187.7 mm<sup>2</sup>; p<0.001) [7]. This trial was stopped early, following the predetermined rule of stopping if there was a major difference in the mean defect diameter with one group being greater than 1.5 times than the other.

In a parallel study to the RCT by Smeets et al. [5], patients who consented were asked to participate in an interview a few weeks before the surgery and six months postoperatively. In 222 patients (133 with primary BCC and 89 with recurrent BCC), no statistically significant difference was found in perceptions on facial aesthetics between patients who underwent MMS and SE. Patients in both groups believed they had improved in time with regards to all four facial aesthetic parameters (i.e., perceptions of size, the conspicuousness, the subjective burden on facial appearance, and the extent to which the facial site is regarded as making the appearance less beautiful) (p<0.05). This RCT also found a statistically significant difference in the number of complications between MMS (8%) and SE (19%) for patients with recurrent BCC (p=0.021) [4]. However, no difference in complications was found for patients with primary BCC (p=0.681). The most common complications for both primary and recurrent BCC included wound infection and necrosis of grafts or flaps.

One retrospective study reported on cosmesis and noted that defects were smaller after MMS only in recurrent tumours on the nose (median defect size: MMS, 2.4 mm<sup>2</sup>; CE, 5.6 mm<sup>2</sup>;

p=0.038) [11]. The defects after MMS were significantly smaller compared with defects after SE after adjusting for localization and primary or recurrent disease (p=0.008).

Two prospective trials that reported on complications in patients receiving SE or MMS did not provide detailed patient characteristics or type of skin cancer [26,30]. The first trial [30] compared patients who received MMS with non-MMS patients and reported that patients who received MMS were more likely to have a risk of 'suspicion of infection' than those who receiving non-MMS surgery (odds ratio, 4.07; 95% CI, 1.52 to 10.91; p=0.005). The second trial [26] reported that treatment type (i.e., MMS or SE) was not associated with bleeding (p=0.07) or infection (p=0.97).

# Question 2: In patients with skin cancer, what are the clinical characteristics or indications that identify groups of patients who derive greater benefit from MMS?

In addition to the studies and data presented in Question 2, subgroup analyses conducted in the RCT comparing MMS with SE and two case series of patients who have received MMS and had performed multivariate analyses were included for this question.

In the RCT by Smeets et al. [4], 18% of primary BCC and 32% of recurrent BCC in the surgical group were incompletely excised after the first excision [4]. Primary BCC with aggressive histopathology were more likely to undergo incomplete excision than those with nonaggressive histopathology (p=0.022). In a subgroup analysis by histological subtype for recurrent BCC at 10 years of follow-up, cumulative recurrence-free survival was significantly lower in patients with aggressive recurrent BCC who received SE (80.7%) than in patients who received MMS (96.1%) (p=0.021) [8]. It is important to remember that approximately 35% to 40% of tumours completed follow-up at 10 years.

The study by Flohil et al. [12] examined 1464 patients with BCC of the head and neck who had received MMS [12]. In a multivariate analysis, BCCs located in the H zone, tumours larger than 10 mm, aggressive tumour subtypes, and recurrent tumours remained significantly associated with two or more stages of MMS. Tumour size ( $\ge$ 21 mm), recurrent tumours, and H zone remained significant predictors for extensive subclinical tumour spread.

In another retrospective study of 1131 Mohs cases with malignant skin tumours, a multivariate analysis found that the most significant predictors of extensive subclinical spread included BCC on the nose (p=0.002), increasing pre-operative size ( $\geq$ 10 mm), and recurrent BCC on the nose [13].

# Question 3: Does MMS provide better outcomes than radiation? a) cure rates, recurrence rates; b) QOL; c) complications, cosmesis

No comparative studies were found between MMS and radiation.

# Question 4: Does WLE provide better outcomes than radiation? a) cure rates, recurrence rates; b) QOL; c) complications, cosmesis

#### a) Cure rates, recurrence rates

Three retrospective comparative studies were found that compared surgery with radiation [1-3]. All three studies included patients with SCC of the lip.

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The first trial studied SCC on the lower lip and reported local recurrence rates of 3.6% and 4.4% for surgery and radiotherapy, respectively, in previously untreated patients (p>0.05) [1]. Radiation consisted of orthovoltage, electron beam therapy, contact therapy, or brachytherapy, while surgical treatment consisted of full-thickness V- or W-shaped excision and primary closure. Both arms differed statistically in terms of tumour size, differentiation grade, and years of follow-up, with patients in the radiotherapy group having a greater tumour size than patients in the surgery group. Regional recurrence rates were significantly lower after surgery (4.8%) than after radiotherapy (12.2%; p=0.04). A multivariate analysis was conducted; however, statistical significance was set at the 0.10 level for reasons not specified. When using a statistical significance level of 0.05, tumour size was prognostic for developing regional metastasis (p=0.03).

The remaining two studies are considered to be of very low quality. The study by Babington et al. [2] reported recurrence rates of 53% and 19% for surgery and radiotherapy, respectively. Twenty percent of patients were previously treated elsewhere and many were referred with recurrent disease; however, the distribution of these patients within the current surgery and radiation arms is unclear. Patients in the radiation arm received either orthovoltage or superficial radiation therapy. Polytomous regression analysis reported that a close ( $\leq 2$  mm) or positive margin in the surgery group predicted local recurrence (p=0.05). The last study [3] reported local recurrence rates of 3.1% and 4.3% for surgery and radiotherapy, respectively. However, it provided minimal information on patients who received radiotherapy or about the comparability of treatment groups.

 b) QOL None of the studies reported on QOL.

c) Complications, cosmesis None of the studies reported on cosmesis.

#### Question 5: Does surgical volume of MMS predict for better outcomes?

No studies were found that examined surgical volume of MMS with any of the outcomes of interest.

Table 4-2. Outcomes for WLE vs. Mohs

| Study                          | Type of<br>tumours &<br>number of<br>patients  | Median<br>follow-<br>up | Recurrence rates   | Re-excision<br>rates   | Complications  | Cosmesis/QOL  |
|--------------------------------|--|-------------------------|--|--|--|---|
|                                | evaluated                                      |                         |  |  |  |   |
| Randomized                     | controlled trials (2                           | trials, 5 pub           | lications)   |  |  |   |
| Smeets<br>NWJ<br>(2004)<br>[4] | pBCC<br>SE, 199<br>MMS, 198<br>rBCC<br>SE, 102 | -                       | Not extracted as 5- and 10-<br>year follow-up data are<br>available. | pBCC<br>35 incompletely<br>excised; 31 re-<br>excised <sup>1</sup><br>rBCC<br>31 incompletely<br>excised; 25 re- | 14%<br>12%<br>p=0.681<br>19%   | Aesthetic outcomes did<br>not differ between MMS<br>and SE in pBCC or rBCC.<br>Mean defect size:<br>pBCC (SE vs. MMS;<br>p=0.386)   |
|                                | MMS, 99  |                         |  | excised <sup>2</sup>   | 8%<br>p=0.021<br>Common complications for<br>pBCC: wound infection,<br>necrosis of grafts or flaps,<br>or a combination of both.<br>Common complications for<br>rBCC: wound infection,<br>necrosis of grafts or flaps,<br>or postoperative bleeding. | rBCC (SE vs. MMS; p=0.598)<br>For tumours that needed<br>more than one SE or at<br>least 2 MMS stages for<br>complete eradication,<br>defects after MMS were<br>significantly smaller for<br>both primary and<br>recurrent BCC. |
| Mosterd K<br>(2008)            | pBCC<br>SE, 134                                | 5 yr                    | 4.1%;  | -  | -  | -   |
| [6]                            | MMS, 125<br>rBCC<br>SE, 59                     |                         | 2.5%<br>p=0.397<br>12.1%   |  |  |   |
|                                | MMS, 75  |                         | 2.4%<br>p=0.021  |  |  |   |

| Study                      | Type of<br>tumours &<br>number of<br>patients<br>evaluated | Median<br>follow-<br>up | Recurrence rates                                     | Re-excision<br>rates | Complications | Cosmesis/QOL  |
|----------------------------|--|-------------------------|--|----------------------|---------------|---|
| Van loo E<br>(2014)<br>[8] | pBCC<br>SE, 69<br>MMS, 71<br>rBCC<br>SE, 36<br>MMS, 42     | 10 yr                   | 12.2%<br>4.4%<br>p=0.100<br>13.5%<br>3.9%<br>p=0.023 | -                    | -             | -   |
| Essers B<br>(2007)<br>[5]  | pBCC, 133 pts<br>rBCC, 89 pts                              | 6 mths                  | -  | -                    | -             | No statistically significant<br>difference in perceptions<br>on facial aesthetics<br>between patients who<br>underwent MMS or SE.<br>Patients in both groups<br>generally believed they<br>improved in time with<br>regards to all four facial<br>aesthetic parameters <sup>3</sup><br>(p<0.05) |
| Muller FM<br>(2009)<br>[7] | BCC<br>SE, 15 pts<br>MMS, 15 pts                           | -                       | -  | -                    | -             | Median area of surgical<br>defects:<br>SE, 187.7 mm <sup>2</sup><br>MMS, 116.6 mm <sup>2</sup><br>p<0.001   |
|                            | comparative (3 st  | udies, 5 publi          | ications)  |                      |               |   |
| Chren MM<br>(2007)<br>[27] | NMSC - BCC &<br>SCC<br>SE, 251 pts<br>MMS, 246 pts         | -                       | -  | -                    | -             | SE and MMS did not differ<br>in their effects on any<br>domain of tumour-related<br>QOL <sup>4</sup> , even after patients<br>(n=399) were matched for<br>propensity of treatment.  |

| Study                          | Type of<br>tumours &<br>number of<br>patients<br>evaluated | Median<br>follow-<br>up           | Recurrence rates  | Re-excision<br>rates                                       | Complications  | Cosmesis/QOL   |
|--------------------------------|--|-----------------------------------|---|--|--|--|
| Chren MM<br>(2011)<br>[29]     | NMSC - BCC &<br>SCC<br>SE, 309 tumours<br>MMS, 172         | -                                 | 4.2%<br>3.5%  | 14 incompletely<br>excised; 11 re-<br>excised <sup>5</sup> | -  | -  |
| Chren MM<br>(2013)             | NMSC - BCC &<br>SCC  | 7.4 yrs <sup>6</sup><br>(3.0-8.8) |   |  |  |  |
| [9]                            | SE, 571 pts<br>MMS, 556 pts                                |                                   | 3.3% (95% CI, 1.6-4.9)<br>1.7% (95% CI, 0.4-3.0)<br>p=not significant |  |  |  |
| O'Neill J<br>(2014)<br>[30]    | Non-Mohs, 822<br>MMS, 1546 <sup>7</sup>                    | -                                 | - p not significant   | -  | Patients who received MMS<br>were more likely to have a<br>risk of 'suspicion of<br>infection' than those who<br>received non-Mohs surgery<br>(OR, 4.07; 95% CI, 1.52-<br>10.91; p=0.005). | -  |
| Bordeaux<br>JS (2011)<br>[26]  | SE, 542<br>MMS, 1369                                       | -                                 | -   | -  | Procedure type (MMS or SE)<br>was not significantly<br>associated with bleeding<br>(p=0.07) or infection<br>(p=0.97).  | -  |
| Retrospectiv                   | e, comparative (7  | studies, 7 pu                     | blications)   |  |  |  |
| van der<br>Eerden PA<br>(2010) | NMSC<br>CE, 709  | 16 mths                           | 0.99%   | -  | -  | No significantly different<br>defect sizes on most<br>locations.   |
| [11]                           | MMS, 795   | 24 mths                           | 0.75%<br>p=0.78   |  |  | Defects smaller after MMS<br>only in recurrent tumours<br>on the nose (p=0.038).<br>Significantly smaller<br>defects after MMS after |

| Study                         | Type of<br>tumours &<br>number of<br>patients<br>evaluated            | Median<br>follow-<br>up | Recurrei                          | nce rates  | Re-excision<br>rates | Complications | Cosmesis/QOL   |
|-------------------------------|---|-------------------------|-----------------------------------|--|----------------------|---------------|--|
|                               |   |                         |                                   |  |                      |               | adjusting for localization<br>and primary or recurrent<br>disease (p=0.008). |
| Jedodhsing<br>h KN<br>[32]    | Periocular BCC<br>Mohs frozen<br>sections negative<br>margins, 43 pts | 27 mths                 |                                   | e-free rate<br>CI, 81-100)   | -                    | -             | -  |
|                               | Permanent<br>sections with<br>negative margins,<br>259 pts            |                         | 87% (95%                          | CI, 76-98)   |                      |               |  |
|                               | Permanent<br>sections with<br>positive margins,<br>87 pts             |                         | 80% (95%                          | CI, 66-93)   |                      |               |  |
| Hou JL<br>(2014)<br>[18]      | Primary SC<br>WLE, 26   | -                       |                                   | 8%   | -                    | -             | -  |
|                               | MMS, 35   |                         | 2.9                               | 9% <sup>8</sup>  |                      |               |  |
| Chin-Lenn<br>L (2013)<br>[19] | Invasive<br>melanoma<br>WLE, 91 pts<br>MMS, 60 pts                    | 49 mths<br>47.5 mths    | Local<br>5 yr, 7.7%<br>5 yr, 6.2% | Regional<br>5 yr, 18.9%<br>5 yr, 8.7%                                  | -                    | -             | -  |
|                               |   |                         | p=0.58                            | p=0.37   |                      |               |  |
| Nosrati A<br>(2017)<br>[20]   | Melanoma in situ<br>WLE, 385 pts<br>MMS, 277 pts                      | 8.6 yrs<br>(0.2-37)     | 15 yr, 7.3% (95<br>5 yr, 1.1% (95 | % CI, 2.5-6.8)<br>% CI, 4.8-11.0)<br>% CI, 0.4-3.4)<br>% CI, 1.4-17.3) | -                    | -             |  |

| Study                            | Type of<br>tumours &<br>number of<br>patients<br>evaluated               | Median<br>follow-<br>up                  | Recurrence rates                         |   | Re-excision<br>rates | Complications | Cosmesis/QOL  |
|----------------------------------|--|--|--|---|----------------------|---------------|---|
| Paradisi A<br>(2008)<br>[14]     | DFSP<br>WLE, 38 pts  | Average,<br>4.8 yrs<br>(range, 2-<br>10) | Local<br>13.2%<br>(95% CI, 4.4-<br>28.1) | No patients<br>with distant<br>or regional<br>metastases. | -                    |               | Postoperative defect size<br>greater for WLE than<br>MMS, not significant |
|                                  | MMS, 41 pts  | 5.4 yrs<br>(range, 2-<br>15)             | 0%<br>(95% CI, 0.0-<br>8.6)<br>p=0.016   |   |                      |               |   |
| Lowe GC                          | DFSP   | Mean                                     | 30.8%                                    |   | -                    | -             | -   |
| (2017)<br>[15]                   | WLE, 104 pts   | 5.7 yrs                                  |  |   |                      |               |   |
|                                  | MMS, 82 pts  | 4.8 yrs                                  | 3.0%                                     |   |                      |               |   |
| Veronese F<br>(2017)<br>[16]     | DFSP<br>WLE, 62 pts  | 4.7 yrs                                  | 8.1%                                     |   | -                    | -             | -   |
|                                  | Mohs Tubingen,<br>73 pts   | 9 yrs                                    | 5.5%                                     |   |                      |               |   |
| Ang GC<br>(2009)<br>[17]         | AFX<br>WLE, 23   | 8.7 yrs<br>(1.5-26.3)                    | 8.7%                                     |   | -                    | -             | -   |
|                                  | MMS, 59 <sup>9</sup><br>91 pts   | 4.5 yrs<br>(1.0-16.1)                    | 0%                                       |   |                      |               |   |
| Cook Jr.<br>BE<br>(1999)<br>[10] | Malignant eyelid<br>tumours<br>SE + frozen<br>section control,<br>87 pts | 6.5 yrs<br>(0-18.6)                      | 5.7%                                     |   | -                    | -             | -   |
|                                  | SE without frozen<br>section control,<br>52 pts                          |  | 0%                                       |   |                      |               |   |
|                                  | MMS, 32 pts  |  | 3.1%<br>p=not reported                   |   |                      |               |   |
| Study                       | Type of<br>tumours &<br>number of<br>patients<br>evaluated | Median<br>follow-<br>up       | Recurrence rates              | Re-excision<br>rates | Complications | Cosmesis/QOL |
|-----------------------------|--|-------------------------------|-------------------------------|----------------------|---------------|--------------|
| Hansen JP<br>(2008)<br>[31] | Bowen's disease<br>Elliptical<br>excision, 109             | Mean<br>31.5 ± 18.7<br>(2-70) | 5 yr, 5.5% (95% Cl, 2.2-12.4) | -                    | -             | -            |
|                             | Shave excision,<br>79                                      | 33.4 ± 18.1<br>(4-72)         | 5yr, 9.0% (95% CI, 3.7-19.4)  |                      |               |              |
|                             | MMS, 83  | 26.3 ± 17.5<br>(2-66)         | 5yr, 6.3% (95% Cl, 2.4-14.7)  |                      |               |              |

<sup>1</sup> Three received MMS

<sup>2</sup> Five received MMS and one received photodynamic therapy

<sup>3</sup> Perception of size the facial site, the conspicuousness of the facial site, the subjective burden by the facial site on the facial appearance and the extent to which the facial site is regarded as making the appearance less beautiful

<sup>4</sup> Quality of life domains from Skindex - symptoms, emotions and functioning

<sup>5</sup> Two received electrodessication and curettage, five received additional excision, four received MMS and three had no information was available about subsequent treatment

<sup>6</sup> Includes patients who received destruction

<sup>7</sup> Includes 21 patients who received modified Mohs surgery

<sup>8</sup> Calculated from those with documented recurrence

<sup>9</sup> Data available for 58 tumours treated with MMS

Abbreviations: AFX: Atypical fibroxanthoma, BCC: basal cell carcinoma, CE: conventional excision, CI: confidence interval, DFSP: dermatofibrosarcoma protuberans, MMS: Mohs micrographic surgery, mths: months, NMSC: nonmelanoma skin cancers, OR: odds ratio, pBCC: primary basal cell carcinoma, pts: patients, QOL: quality of life, rBCC: recurrent basal cell carcinoma, SCC: squamous cell carcinoma, SE: surgical excision, WLE: wide local excision, yr(s): year(s), -: not reported

| Study                             | Number of patients                                       | Median                                      | Recurre   | nce rate  | Complications   | Aesthetics |
|-----------------------------------|--|---|---|---|---|------------|
|                                   | & type of tumours  | follow-up                                   |   |   |   |            |
| Retrospectiv                      | ve, comparative  |   |   |   |   |            |
| Babington<br>S (2003)<br>[2]      | SCC of the lip<br>Surgery, 51<br>Radiotherapy, 62        | 54 mths<br>(0-189)                          |   | 3%<br>9%  | 12 pts died of disease; 2<br>died following cardiac<br>arrest; one<br>postoperatively after neck<br>dissection  | -          |
| de<br>Visscher J<br>(1999)<br>[1] | SCC of the lower lip<br>Surgery, 166<br>Radiotherapy, 90 | 55 mths (6-<br>160)<br>75 mths (12-<br>166) | Local<br>3.6%<br>36 mths (8-48) <sup>1</sup><br>4.4%<br>12 mths (8-32) <sup>1</sup><br>p>0.05 | Regional<br>4.8%<br>26 mths (8-54) <sup>1</sup><br>12.2%<br>24 mths (8-81) <sup>1</sup><br>p=0.03 | 2 pts treated by both<br>surgery and radiotherapy<br>died of pulmonary<br>metastases after 25 and<br>30 mths, respectively; 2<br>pts died of intercurrent<br>disease after 4 and 8<br>mths, respectively, one pt<br>died of complications of<br>treatment after salvage<br>neck dissection. | -          |
| Sarachev<br>E                     | SCC of the lower lip<br>Surgery, 184                     |   | Local<br>3.1%   | Regional<br>4.4%  | -   | -          |
| (2001)<br>[3]                     | Radiotherapy, 592  |   | 4.3%  | 5.2%  |   |            |

## Table 4-3. Outcomes for Radiation vs. Surgery

<sup>1</sup>Median follow-up Abbreviations: mths: months, pts: patients, SCC: squamous cell carcinoma

## Ongoing, Unpublished, or Incomplete Studies

There were no ongoing, unpublished, or incomplete studies found that met the inclusion criteria of this guideline. This search was conducted on August 4, 2017 at clinicaltrials.gov. However, a systematic review protocol was found was found that seeks to examine the effectiveness of MMS compared with other treatment modalities such as excisional surgery, curettage and electrodessication, and radiation therapy, as well as such as topical 5-fluorouracil and imiquimod immunotherapy in the management of NMSC [37].

### DISCUSSION

Skin cancer is the most common malignancy in Ontario, and accounts for significant health resource allocation. Superficial, nonaggressive neoplasms may be successfully managed by a number of techniques, and are not the subject of this guideline. Aggressive forms of skin cancer represent a small portion of overall disease, but effective management of these malignancies reduces the risk of disease progression, which may lead to significant morbidity. MMS uses frozen section histology to analyze tumour margins intraoperatively in order to guide complete tumour removal, while sparing injury to normal adjacent tissue. Other methods of intraoperative peripheral and deep circumferential margin analysis exist and are expected to also provide advantages in comparison to standard excision. However, this guideline focuses exclusively on MMS, WLE, and radiation and did not cover other methods of non-MMS forms of frozen section marginal control.

Conservative or narrow margins using standard surgical technique raise the possibility of an incomplete removal, leading to more treatment or delayed recurrent disease. Wider margins risk greater scarring coupled with disfigurement or dysfunction. The benefit of complete marginal analysis is to guide tissue removal during the procedure, to limit the resection of normal tissue. Greater assurance of marginal status at the time of resection allows the surgeon a greater ability to plan reconstruction with confidence.

The members of the Working Group believed that while it is important to acknowledge current treatment practices and patterns of care, the recommendations should be based on the best available evidence. The Working Group members agreed that recurrence rates, complications of therapy, cosmesis, and QOL are acceptable outcomes and are important to patients as well. A Patient and Caregiver Consultation Group confirmed these outcomes to be of importance.

Few well-designed trials have compared MMS with other methods of treating skin cancer. MMS has been compared with SSE, otherwise known as POMA or WLE, and most of these trials have indicated lower recurrence rates with MMS for various skin cancers [4,6,8,14,17,18] although many do not provide p-values. However, most studies have not controlled for important patient or tumour characteristics, thus rendering an effective comparison impossible. Selection bias is also an issue in these studies as patients were chosen for type of treatment based on institutional guidelines. Often, MMS was chosen for the more complex cancers [14,18], but remained at least as effective. Further, retrospective studies had low patient numbers and were not powered to detect differences between groups.

The most important research used to guide the Working Group's recommendations was the RCT comparing MMS with WLE in patients with facial, high-risk primary BCCS, and recurrent BCCs [4,6,8]. High-risk primary BCC was defined as being a BCC of at least 1 cm in size, having aggressive histology (micronodular, morpheaform, BCC with squamous differentiation, infiltrative) or being located on the H zone of the face. Recurrent BCC were those that had failed at least one previous treatment. SE was performed with a 3 mm margin. Positive margins after SE lead to a re-excision, and subsequent positive margins went on to receive MMS. Overall, 3.5% of primary BCC and 17% of recurrent BCC from the SE arm were treated with MMS instead

#### Guideline 8-11

of SE, although these cases remained in the SE arm for statistical analysis based on intention to treat [4]. MMS was also initially treated with a 3 mm margin, whereas positive margins were treated during the same procedure with subsequent levels until clear.

Despite the histologic subtypes being more aggressive on average in the groups who were treated with MMS, defects were significantly larger in patients after an incomplete excision in comparison to those patients with multiple Mohs stages, and this was true for primary (p<0.001) and recurrent BCC (p=0.026) [4]. Cosmetic results were significantly poorer as the defect size increased for primary and recurrent BCC although aesthetic outcomes did not differ between MMS and SE in primary BCC or recurrent BCC.

Although a significant number of patients were not available for final analysis, the 10year follow-up provided valuable data. For primary BCC, MMS had a recurrence rate of 4.4% versus 12.2% in the SE arm (p=0.100) [8]. Although this is not statistically significant, the lower number of recurrences in primary BCC following MMS was thought to be relevant by the members of the Working Group, especially given the 3.5% cross-over rate. For recurrent BCC, recurrence rates were 3.9% for MMS compared with 13.5% for SE, which was statistically significant (p=0.023), despite a 17% cross-over rate.

Recurrent BCC had more complications with SE (19%) as compared with MMS (8%), (p=0.021) [4]. The members of the Working Group recommend MMS for recurrent facial BCC, based on the statistically significant reduction in recurrence. High-risk tumours, as defined by aggressive histology or location in the H-zone of the face that are at least 1 cm in size should also be considered for MMS, based on the trend of reduced recurrence. The evidence reporting lower complication rates and smaller defect sizes with MMS further support these recommendations. Two retrospective studies of patients who received MMS that conducted multivariate analyses further supported these recommendations. One study found that BCCs located in the H zone, tumours larger than 10 mm, aggressive tumours subtypes, and recurrent tumours remained significantly associated with two or more stages of MMS, while tumour size subclinical tumour spread [12]. The second found that the most significant predictors in patients with malignant skin tumours of extensive subclinical spread included BCC on the nose (p<0.002), increasing preoperative size ( $\geq$ 10 mm), and recurrent BCC on the nose [13].

Much of the evidence used to inform recommendations were based on BCC, with few retrospective studies assessing other skin cancers, creating a gap in evidence and literature on the effectiveness of MMS in these skin cancers. However, in other skin cancers residing in locations where re-excision or a larger defect size could endanger function or cosmesis, consideration should be given to margin-controlled removal. This is based on the few retrospective comparative studies of various skin cancers and the Working Group's expert understanding of the pathobiologic similarity of these malignancies to BCC.

Patients who are predisposed to rapidly advancing malignancy, such as those who are immunosuppressed, may benefit from margin-controlled surgery. Those with a genetic predisposition to multiple skin cancers, such as Gorlin's syndrome, who may develop vast numbers of malignancies and thus benefit from skin-sparing techniques, should be considered for MMS in nonsuperficial lesions.

The members of the Working Group propose that where tissue sparing is crucial or an elevated risk of morbidity from recurrence exists, MMS should be considered.

There are no well-designed trials comparing WLE or MMS with radiation. Retrospective studies comparing WLE and radiation did not identify significant advantages with either method and were not controlled for risk factors. Untangling the reasons for why either treatment was chosen was not possible. No studies compared MMS with radiation for any of the outcomes of interest. One study did compare WLE with radiation and concluded surgery resulted in superior cosmesis; however, brachytherapy was used in more than 20% of patients and thus did not meet

our inclusion criteria [38]. The members of the Working Group agreed there was no evidence supporting a change to the current standard of care between WLE and radiation.

Radiation is most helpful when surgery is contraindicated, the tumour is in a location where radiation can access without causing secondary injury, and the delayed effects of treatment are anticipated to be minor. Surgery is relatively contraindicated when the patient is either psychologically or medically unprepared for a local anesthetic procedure that may be complicated by bleeding or temporary incapacity. When tumours reside in locations where surgery would be technically challenging and likely result in significant functional impairment, radiation should be an option. Lesions that are widespread or discontiguous may benefit from radiation therapy, as compared with surgical options. Patients who have failed margincontrolled surgery should be evaluated for factors that would predict further surgical incomplete resection, and considered for radiation if identified as poor surgical candidates. Referrals for radiotherapy should be forwarded to units with extensive experience in the delivery of radiation that maximizes skin cancer clearance while minimizing injury to normal skin. Radiation fields are wider than the predicted size of the cancer and thus affect surrounding normal skin. Estimating the depth and width of the radiation required, like estimating surgical margins, is often challenging. Wider and deeper fields are often chosen, especially for cancers with subclinical spread or aggressive features. Although newer fractionated methods reduce injury, therapy raises the risk of secondary skin malignancy and impairs skin function in the irradiated field. This may result in poor wound healing if surgery is required at the site in the future. Repeat radiation is typically contraindicated for new cancers within a previously irradiated field. Radiation, like surgery, may injure nearby structures such as tear ducts, and cause cosmetic concerns such as alopecia. For these reasons, radiation may be most appropriate for older patients who are less likely to have delayed complications such as secondary malignancies or fibrosis within an irradiated field requiring surgery. Older patients are also more likely to have comorbidities that may raise the risk of surgical options, or prefer palliative radiation as an option.

For patients with complex or advanced skin cancers, a multidisciplinary approach is recommended. Collaboration among medical oncologists, radiation oncologists, surgeons, pathologists, and dermatologists will often provide options that may work synergistically to support the goals of the patient and family.

MMS requires specialized training in resection, expertise in the histologic interpretation of frozen section pathology, and the reconstruction of complex facial defects. There are no studies that compared outcomes between procedures performed by differing levels of expertise or experience, but it is the Working Group's expert opinion that the skill set needed to operate at a high standard would require a RCPSC Certificate, or equivalent, and successful completion of an accredited fellowship in MMS, such as the American College of Mohs Surgery or equivalent accrediting body. No studies were also found where surgical volume of MMS predicted for outcomes.

Access to MMS in Ontario was identified by the Working Group to be a significant barrier to care for most patients. MMS currently uses the infrastructure of a hospital to provide the required facilities. These centres only exist in large urban centres and are not able to meet the demands of the increasing number of complex facial skin cancers in Ontario.

The current recommendations do not introduce new indications for MMS, but rather provide the evidence that has been used to develop triage models for skin cancer management. This guidance document also helps to clarify areas where data are lacking, and form the basis of future trials examining clinically relevant questions.

### CONCLUSIONS

The standard of care for patients with skin cancer is surgery (with postoperative or intraoperative marginal assessment), or radiation for those who are ineligible for surgery. Given the lack of high-quality, comparative evidence, there is no reason to change this standard of care. Eligibility for surgery depends on disease stage, surgical considerations, aesthetic outcomes, patient comorbidities, and patient preference. Mohs micrographic surgery is another surgical technique used in patients with skin cancer. It is recommended for those with histologically confirmed recurrent BCC of face, and is appropriate for primary BCCs that are greater than 1 cm on the face, have aggressive histology, or are located on the H zone of the face. The evidence comes largely from two RCTs. Based on the clinical expert opinion of the Working Group, there are other situations where MMS may be indicated in patients. These include smaller tumours (<1 cm in diameter) where tissue sparing is of functional or cosmetic significance, in complex tumours that may necessitate margin-controlled surgery, or in aggressive or high-risk NMSC. MMS should be performed by physicians who have completed a degree in medicine or equivalent, including an RCPSC Specialist Certificate or equivalent, and have received advanced training in MMS.

# Patient Indications for Mohs Micrographic Surgery

# Section 5: Internal and External Review

### INTERNAL REVIEW

The guideline was evaluated by the GDG Expert Panel and the PEBC RAP (Appendix 1). The results of these evaluations and the Working Group's responses are described below.

### Expert Panel Review and Approval

Of the 20 members of the GDG Expert Panel, 17 members cast votes and none abstained, for a total of 85% response in July 2017. Of those that cast votes, 13 approved the document (76.5%). The main comments from the Expert Panel and the Working Group's responses are summarized in Table 5-1.

| Comments  | Responses   |
|---|---|
| 1. H zone is a term that is used repeatedly; it   | We have added an image showing the H zone within  |
| may be useful to define it.   | the recommendations.  |
| 2. I think you need to differentiate somewhere<br>that MMS is not standard for melanoma and<br>that it is predominantly used in NMSC.   | We have inserted the following statement in the<br>qualifying statements, "Patients with invasive<br>melanoma or melanoma in situ have shown no benefit<br>in the use of MMS over WLE. These retrospective<br>studies were not adequately powered. A recent<br>guideline by Cancer Care Ontario (CCO) on primary<br>excision margins in cutaneous melanoma has been<br>published. Please refer to this guideline for<br>recommended surgical margins in this population." |
| 3. This does not seem specific to an MMS guideline. It covers all treatments of skin cancer (WLE, MMS, radiation), whereas the guideline title refers only to MMS.  | The objectives for this guideline are specific for MMS.<br>However, in order to write a guideline on MMS and to<br>determine when it is appropriate we needed to<br>include other treatments for comparisons. A<br>guideline on skin cancer would be much broader and<br>include other techniques such as curettage,<br>electrodessication, cryosurgery, etc.   |
| 4. It would be valuable to have input in these guideline from a specialty-trained dermatopathologist. At the institutions I have trained at and am now practicing, our dermatopathologists do not call margins for melanoma on frozen section due to concerns such as artifact from the freezing process that can obscure interpretation. | Our Working Group included one pathologist.<br>There is another guideline developed by CCO that<br>covers surgical margins in cutaneous melanoma. We<br>have inserted a reference to that guideline.  |
| <ul> <li>5. "NMSC may spread via lymphatics to distant sites, but this guidance document will not address the management of metastatic disease." This should be stated at the outset of the guidelines.</li> <li>Regarding recommendation 1: Surgery (most control of the state)</li> </ul>   | We have inserted a preamble to the beginning of this<br>guideline that includes, "Further, this guideline<br>refers to radical radiotherapy and does not consider<br>adjuvant radiotherapy in its literature review nor<br>does it address metastatic disease."<br>mmonly WLE, or MMS), or radiation for those who are<br>rd of care for patients with skin cancer given the lack   |
| <ul> <li>6. I do not think this is an accurate statement,<br/>nor a clear statement. MMS is not acceptable</li> </ul>   | Please see Response 2.  |

Table 5-1. Summary of the Working Group's responses to comments from the Expert Panel.

|   | - 1   |  |
|---|---|--|
| as standard of care for resection o<br>melanoma based on current evidence.  | Ĩ   |  |
| <ul> <li>7. Other destructive modalities (curettage electrodessication, cryosurgery) are ofter effective for smaller, low-risk tumours.</li> </ul>  |   |  |
| 8. I agree in principle, and with review of the literature, with this statement. I do think that it may be somewhat too general as a first recommendation. This is basically endorsing all of the current available treatments without clarifying the role o multidisciplinary input or specifying within the recommendation the various surgica approaches and how they differ. "Surgery" is a broad and nebulous term. I can appreciate that it is clarified in the qualifying statements; however, a clearer definition within the Recommendation wording itself is preferred. | follows, "Surgery (with postoperative or<br>intraoperative marginal assessment), or radiation for<br>those who are ineligible for surgery, should remain<br>the standard of care for patients with skin cancer<br>given the lack of high-quality, comparative<br>evidence."   |  |
| clinical experience, the Working Group sugge<br>features may be considered appropriate for re<br>1. Where there is patient preference based of<br>surgery or anxiety related to surgery;<br>2. When the patient is on anticoagulation with<br>stopping/modifying anticoagulation carries me   | on the expected cosmetic or functional outcomes of<br>h significant risks of bleeding with surgery and when<br>dical risks;   |  |
| 3. In clinical situations where the intent is pal   |   |  |
| 4. Cases with increased risk of recurrence with   |   |  |
| invasion, in transit metastasis or  | ginal assessment is very difficult;<br>ineural invasion, lymphatic invasion, vascular<br>histologic subtype suggesting a high risk for surgical   |  |
| surgical resection is likely to cau   |   |  |
| d. <i>Poorly defined borders (e.g., sel</i><br>9. Some skin cancer subtypes are not very  |   |  |
| radiation sensitive or radiation can cause<br>significant comorbidities. Patients should be<br>educated about the risks.<br>10. Should the degree of medical risk be defined<br>a small risk for blood clot is still better than<br>dying of metastatic skin cancer   | to, "There are various clinical situations where it may<br>be considered appropriate for referral to a radiation<br>oncologist. Based on standards of care and clinical<br>experience, the Working Group suggests that clinical<br>situations with the following may be appropriate for<br>referral for radical radiotherapy: |  |
| <ol> <li>What data support this criterion? Most Moh<br/>surgeons perform surgery without stopping<br/>anticoagulation.</li> <li>Lourgest these following indications should</li> </ol>  | g expected cosmetic or functional outcomes of surgery or anxiety related to surgery;  |  |
| <ul> <li>12. I suggest these following indications should be stated as reasons for adjuvant radiation after surgery, not for radiation alone</li> <li>13. Lwould not say the first line of treatment</li> </ul>   | extensive subclinical spread with surgery.  |  |
| 13. I would not say the first line of treatment<br>after surgery for in-transit metastases is<br>radiation. We have many other treatment  | 5   |  |

| that are much more effective and with better evidence.                                       |   |
|--|---|
| 14. You should clarify adjuvant versus primary   |   |
| radiation. I am assuming that this refers to   |   |
| adjuvant radiation for high-risk tumours, as radiation alone would not likely be curative.   |   |
| •  | led for those with histologically confirmed recurrent   |
|  | priate for primary BCCs that are >1 cm on the face,   |
| 15. I think the requirement for a histologically   | The Working Group understands that the risk of  |
| confirmed BCC should be optional in certain  | misdiagnosis is too high and as a result recommends   |
| cases. Many BCCs are clinically obvious and  | cases be histologically confirmed.  |
| requiring a biopsy delays timely referral for MMS and exposes the patient to, potentially,   |   |
| more morbidity. Practically, I do not know   |   |
| how you can make this part of a guideline,   |   |
| but I would suggest it be explored.  |   |
| 16. I believe that the 1 cm criterion for referral   | This has already been addressed in the qualifying   |
| to MMS is too large. Depending on the  | statements.   |
| location, even 5 to 6 mm should warrant MMS as a consideration. I know this is alluded to    |   |
| by the Working Group, but perhaps it should  |   |
| be stated explicitly in the recommendation.  |   |
| 17. Consideration should also be given to BCCs   | The qualifying statement for Recommendation 1 has   |
| with ill-defined margins, those in   | been modified to the following to include   |
| immunosuppressed patients, those in  | immunosuppressed patients, "There are situations  |
| patients with a genetic predisposition to  | where MMS may be considered in patients outside of  |
| multiple skin cancers, such as Gorlin's, where tissue sparing is desired, or in SCC, to be   | the above recommendation: smaller tumours (<1 cm<br>in diameter) where tissue sparing is of functional or |
| assessed on a case-by-case basis.  | cosmetic significance (this includes tumours in   |
| 2  | patients with a genetic predisposition to multiple  |
|  | skin cancers, such as Gorlin's); complex tumours  |
|  | that may necessitate margin controlled surgery; or  |
| 18 Do you want to specifically say not   | immunosuppressed patients."<br>Please see Comment 2.  |
| 18. Do you want to specifically say - not appropriate for melanoma, melanoma in situ         | Please see comment 2.   |
| or SCC or Merkel cell?   |   |
|  | There is a resource implementation phase after the  |
| expert follow-up and secondary care as an  | completion of this guideline that would address these   |
| indication for Mohs. This may be due to  | concerns.   |
| patient compliance, distance to care, or   |   |
| patient insight. The salvage rate in recurrent (persistent) NMSC is excellent, but I believe |   |
| that the functional and cosmetic results are   |   |
| better if caught early.  |   |
| 20. The recommendations should highlight the   | We have added an image showing the H-zone withir  |
| facial subunits that are best suited for a Mohs  | the recommendations.  |
| micrographic approach; specifically, eyelid,   |   |
| nasal ala, medial and lateral canthus, some  |   |
| lip lesions.   | the results were not statistically significant for  |
|  | for patients with primary BCC, the Working Group  |
|  | osmesis (i.e., defect size) in addition to recurrence   |
| rates.   |   |

| <ul> <li>21. This is not totally correct. Cosmesis depends not only on size of the defect, but also the location on the face, and how the defect is closed (primarily, skin graft, flap, secondary intention). I assume that this statement comes from the RCT by Smeets - how did it rate cosmesis, and were there enough cases to compare cosmetic outcome after surgery based on the method of closure? I would leave it at "that clinicians consider the value of cosmesis in addition to recurrence rates"</li> </ul>    | We agree and the suggested changes have been made.   |
|---|--|
| <ul> <li>22. DFSP has a high risk of local recurrence with inadequate local treatment and it can dedifferentiate to a fully malignant fibrosarcoma over time. As the authors point out, the evidence to support MMS for DFSP is of low quality and subject to bias.</li> <li>All sarcomas in Ontario should be managed according to the CCO Provincial Sarcoma Management Plan.</li> </ul>  | The Working Group has looked at the evidence as well<br>as the CCO Provincial Sarcoma Management Plan. We<br>have determined that our qualifying statement aligns<br>with it and does not contradict it. Further, we added<br>another member to our Expert Panel who specializes<br>in treating skin sarcomas. She was satisfied with the<br>wording of the qualifying statements as, "Patients<br>with dermatofibrosarcoma protuberans (DFSP),<br>atypical fibroxanthoma (AFX), and sebaceous<br>carcinoma have shown benefit in the use of MMS over<br>wide local excision (WLE). The results of these<br>studies are subject to selection bias and were not<br>adequately powered. However, the Working Group<br>notes that although methodologically strong<br>evidence does not exist for rarer types of skin cancer,<br>MMS should be considered on a case-by-case basis." |
| 23. "Irradiated fields are typically resistant to<br>subsequent radiation for new cancers within<br>the field." I would reword this to say "Repeat<br>radiation is typically contraindicated for new<br>cancers within a previously radiated field."<br>Irradiated fields are not "resistant" to<br>subsequent radiation, but one does not<br>typically re-irradiate due to concerns of late<br>toxicity, depending on factors such as time<br>since previous radiation, dose/fractionation<br>received, volume treated, etc. | We agree and the suggested changes have been made.   |
| 24. I would further recommend a research<br>question that examines patients who are<br>immunosuppressed, as this is a growing<br>cohort of patients with NMSC, specifically<br>SCC.   | We have modified the qualifying statement to<br>address patients with immunosuppression - please<br>see Response 12. However, a research question in<br>this area cannot be added to this guideline at such a<br>late stage. This may be included in future guidelines.  |
| 25. Recent guidelines for melanoma in situ (via<br>CCO) are suggesting increasing margins to 0.5<br>cm to 1 cm ideally, based on a systematic<br>review.  | Yes, we have inserted the following statement in the<br>qualifying statements for Recommendation 1, "A<br>recent guideline by Cancer Care Ontario on primary<br>excision margins in cutaneous melanoma has been<br>published. Please refer to this guideline for<br>recommended surgical margins in this population."  |

| 26. In the systematic review concerning c)<br>Complications and cosmesis, it may be<br>valuable to examine reconstructive<br>techniques that are used in those studies (if<br>reported) in particular as there are<br>conclusions being drawn about defect size<br>and cosmetic outcomes without specifying<br>the reconstructions utilized.  | The Working Group feels this is outside of the scope of this guideline.   |
|---|---|
| <ul> <li>27. I agree with the concluding statements in the following paragraphs and feel that there should be a clear emphasis set out in these guidelines (i.e., by putting these comments explicitly in the recommendations) for the following:</li> <li>"Patients who are predisposed to rapidly advancing malignancy, such as the immunosuppressed, should be strongly considered for margin-controlled surgery."</li> <li>"where tissue sparing is crucial or an elevated risk of morbidity from recurrence exists, margin-controlled surgery should be considered.</li> </ul> | The qualifying statement for Recommendation 1 has<br>been modified to the following to include<br>immunosuppressed patients, "There are situations<br>where MMS may be considered in patients outside of<br>the above recommendation: smaller tumours (<1 cm<br>in diameter) where tissue sparing is of functional or<br>cosmetic significance (this includes tumours in<br>patients with a genetic predisposition to multiple<br>skin cancers, such as Gorlin's); complex tumours<br>that may necessitate margin controlled surgery; or<br>immunosuppressed patients." |
| 28. There are persistent concerns about<br>controlling the number of patients who have<br>MMS to those where the margins are truly<br>unclear for recurrent tumour, anatomical<br>locations, or histology. The overall volume<br>of NMSC patients would overwhelm any<br>system both by time and financial constraints<br>if the recommendations are too broad.   | There is a resource implementation phase after the completion of this guideline that would address these concerns.  |
| <ul> <li>29. Need to discuss other margin assessment techniques as an option:</li> <li>1. Staged perimeter or string approach</li> <li>2. Frozen section margins, specifically with "en face" processing</li> </ul>   | We have augmented the introduction and discussion sections with other assessment techniques.  |

### RAP Review and Approval

Three RAP members, including the PEBC Director, reviewed this document in April 2017. The RAP conditionally approved the document in April 2017. The main comments from the RAP and the Working Group's responses are summarized in Table 5-2.

## Table 5-2. Summary of the Working Group's responses to comments from RAP.

| Со | mments   | Responses   |
|----|--|---|
| 1. | The discussion of the different types of skin<br>cancer surgery could be further improved if<br>the description of Mohs surgery was more<br>detailed in terms of what equipment is used,<br>how it is used, who does the histologic<br>examination, and where is this actually done.<br>This should be compared to the standard WLE<br>approach. A description of the implications<br>for operating room time compared to<br>standard surgical approaches should also be<br>included | We have augmented the introduction with these details.  |
| 2. | The authors of this report seem to assume<br>the reader of this guideline actually is<br>knowledgeable of the management of skin<br>cancers of the face. Terms such as the H zone<br>are never explained nor is a detailed<br>description of how Mohs surgery is actually<br>performed. I would encourage the authors to<br>augment the report with this information   | We have added an image showing the H zone within<br>the recommendations. We have also added a detailed<br>description of MMS to the introduction.   |
| 3. | The introduction would be improved by describing the different surgeries - MMS versus WLE versus excision versus CE. If SE is WLE, then I would use SE throughout the document and tables.   | We have augmented the introduction with these details. Terms used throughout this guideline are as how individual studies and trials reported them.   |
| 4. | What is the difference between the two groups in O'Neill and is excision the same as surgical excision in the next row?  | Terms used throughout this guideline are as how individual studies and trials reported them.  |
| 5. | Page 8 says you are only going to look at<br>guidelines after 2012 forward. On page 12,<br>you go back to 1970. The studies you include<br>with the exception of two are all older than<br>2012. All the databases used, study types,<br>and studies retrieved were appropriate.<br>PRISMA diagram is good. Not sure why you<br>restricted guidelines to after 2012.   | We generally do not search for guidelines more than<br>three years old due to the labour that it would take<br>to incorporate new studies. We have added the<br>following to the methods sections, "Questions<br>involving MMS were searched beginning 1970 as it was<br>known to be the beginning of the modern Mohs<br>technique, while the question involving WLE and<br>radiation was searched beginning 1990 as it was<br>known that no relevant studies existed before this<br>time." |

## EXTERNAL REVIEW External Review by Ontario Clinicians and Other Experts

## Targeted Peer Review

Eleven targeted peer reviewers from Ontario and across Canada who are considered to be clinical and/or methodological experts on the topic were identified by the Working Group.

Five agreed to be the reviewers. Responses were received from four reviewers (Appendix 1). Results of the feedback survey are summarized in Table 5-3. The comments from targeted peer reviewers and the Working Group's responses are summarized in Table 5-4.

|   | Reviewer Ratings (N=4)                     |
|---|--|
| Question  | Lowest<br>Quality<br>(1) (2) (3) (4) (5)   |
| <ol> <li>Rate the guideline development<br/>methods.</li> </ol>   | 0 1 0 1 2                                  |
| 2. Rate the guideline presentation.   | 0 0 0 2 2                                  |
| 3. Rate the guideline recommendations.  | 0 1 0 2 1                                  |
| 4. Rate the completeness of reporting.  | 0 1 0 1 2                                  |
| 5. Does this document provide sufficient<br>information to inform your decisions?<br>not, what areas are missing? |  |
| 6. Rate the overall quality of the guideling report.  | ne 0 0 1 2 1                               |
|   | StronglyStronglDisagreeNeutral(1)(2)(3)(4) |
| <ol><li>I would make use of this guideline in r<br/>professional decisions.</li></ol>                             |  |
| 8. I would recommend this guideline for use in practice.  | 1 0 0 1 2                                  |
| 9. What are the barriers or enablers to t implementation of this guideline repo                                   | nractitioners and nationts                 |

| Table 5-3. Responses to nine items on the targete | d peer reviewer questionnaire. |
|---|--------------------------------|
|   |                                |

| Table 5-4. Res | ponses to comment | ts from targeted | peer reviewers. |
|----------------|-------------------|------------------|-----------------|
|                |                   | <b></b>          |                 |

| Comments   | Responses  |
|--|--|
| 1. Reviewer states that plastic surgeons are     | We had two plastic surgeons on the Expert Panel,     |
| underrepresented in this guideline (none on the  | which is responsible for reviewing and approving the |
| Working Group and two of 23 on the Expert Panel) | guideline. Through External Review (i.e., Targeted   |
| although they are the vast majority of surgeons  | Peer Review and Professional Consultation), we       |
| managing cutaneous malignancies. Reviewer        | consulted plastic surgeons. In Professional          |

| makes a note that dermatopathologists are also<br>under represented.   | Consultation, we had four plastic surgeons provide<br>feedback.<br>Further, we have altered our Qualifying Statements<br>for Recommendation 2 around specialties for<br>multidisciplinary assessment from "surgical, medical,<br>and radiation oncologists" to "surgical specialists,<br>dermatologists, medical, and radiation oncologists".<br>We had a dermatopathologist on the Working Group,<br>as well as on the Expert Panel - please refer to<br>Appendix 1.  |
|--|--|
|  | The articles referenced in this comment were<br>excluded because they didn't meet eligibility criteria<br>for this review as they're all non-comparative<br>studies.   |
| 2. Reviewers states that the outcomes as well as<br>cost effectiveness of intraoperative frozen<br>sections versus MMS should be specifically<br>analyzed and notes the following references:<br>- Plast Surg (Oakv). 2014 Autumn; 22(3): 179-182.   | Assessing the cost-effectiveness of the various techniques is beyond the scope of this guideline. The references provided would have been excluded from our search as they are non-comparative studies.  |
| A reliable frozen section technique for basal cell<br>carcinomas of the head and neck. Wisam Menesi,<br>Edward W Buchel, and Thomas JE Hayakawa.<br>- Eur J Ophthalmol. 2014 Jul-Aug;24(4):476-82.<br>doi: 10.5301/ejo.5000405. Epub 2013 Dec 5.<br>Outcome of 110 basal cell carcinomas of the<br>eyelid treated with frozen section-controlled<br>excision: mean follow-up over 5 years. Giordano<br>Resti A, Sacconi R, Baccelli N, Bandello F.<br>- Ophthal Plast Reconstr Surg. 2002<br>Nov;18(6):430-5. Management of periocular basal<br>cell carcinoma with modified en face frozen<br>section controlled excision. Wong VA1, Marshall<br>JA, Whitehead KJ, Williamson RM, Sullivan TJ.  | We have moved the following sentence from the Discussion to the Notes in Sections 1 and 2, "Aside from MMS, other methods of intraoperative peripheral and deep circumferential margin analysis exist and are expected to also provide advantages in comparison to standard excision. However, this guideline focuses exclusively on MMS, WLE, and radiation and did not cover other methods of non-MMS forms of frozen section marginal control."   |
| <ol> <li>A, whitehead KJ, whitehison KM, Suttivan TJ.</li> <li>Reviewer does not agree with the H zone of<br/>the face as an area necessitating frozen sections<br/>or Mohs and states that the presentation of<br/>intraoperative frozen sections are misleading and<br/>inaccurate. Reviewer believes it misrepresents<br/>those areas that are difficult to reconstruct, and<br/>where Mohs or intraoperative frozen sections are<br/>necessary.</li> <li>The indications for frozen section (SE-IOMA) are:</li> <li>Pathological tumours (sclerosing BCC, etc.)</li> <li>Recurrent tumours (postradiotherapy, post<br/>previous surgery)</li> <li>Aggressive tumors (immunosuppressed<br/>patients, patient treated with radiotherapy for<br/>acne or tinea)</li> <li>Those areas where tissue sparing is important<br/>to preserve function or cosmesis: eyelids,<br/>eyebrows (not included in H zone), nose, ear,<br/>upper lip and lower lip, (not included in H zone),</li> </ol> | Recommendation 2 regarding the use of MMS for the<br>H zone of the face comes from the results of an RCT.<br>While there is a lot of literature on this topic, we<br>used the highest quality of evidence available (i.e.,<br>RCTs) to make recommendations. Please refer to the<br>Qualifying Statements for Recommendation 2 where<br>further indications for when MMS may be useful is<br>mentioned based on clinical expertise and<br>comparative evidence.<br>We have moved the following sentence from the<br>Discussion to the Notes in Sections 1 and 2, "Aside<br>from MMS, other methods of intraoperative<br>peripheral and deep circumferential margin analysis<br>exist and are expected to also provide advantages in<br>comparison to standard excision. However, this<br>guideline focuses exclusively on MMS, WLE, and<br>radiation and did not cover other methods of non-<br>MMS forms of frozen section marginal control." |

| not included in H zone), labiomental fold (not included in H zone)  |   |
|---|---|
| 3. Reviewer feels that given the number of qualifying statements for Recommendation 2, it should contain an additional sentence to accurately convey the role of MMS for other BCC types and less-common skin cancers. An example of a second sentence: "MMS may also be considered for less-common skin cancers as per the qualifying statements outlined below".  | The Working Group understands this concern,<br>however, would like to point the readers to the<br>Qualifying Statements for Recommendation 2.   |
| 4. Reviewer feels reference studies 6, 9, and 15<br>have had their outcomes simplified and show a<br>subtle bias away from MMS. The study design and<br>quality section of the draft guidelines does<br>address the studies outcomes in greater detail.<br>However, the reviewer feels the simplifications<br>are a reasonable compromise position and does<br>not require any change in the primary<br>recommendations.  | Thank you for your comment.   |
| 5. Regarding the qualifying statement, "Patients with invasive melanoma or melanoma in situ have shown no survival or recurrence benefit in the use of MMS over WLE", the reviewers feels this statement is correct taken broadly. However, for melanoma in situ of the face, there is strong literature evidence that the recommended margin of 5 mm for melanoma in situ will prove inadequate in 14% to 35% of cases. A reference to CCO margins is then given, but does not address this concern. The current qualifying statement may wish to convey the desire that consideration be given to a WLE margin of 5-10 mm for melanoma in situ of the face, if MMS is not available, and if anatomic and functional considerations allow. | Determining margins was beyond the scope of this<br>guideline. However, the recently published CCO<br><u>Guideline 8-2 Version 2</u> , as referenced in the<br>Qualifying Statements for Recommendation 2,<br>addresses this concern and notes that when possible,<br>wide margins should be employed (i.e., 5mm-1cm for<br>melanoma in situ), but recognizes that they may be<br>difficult to achieve based on their anatomical<br>location. In these instances margin-controlled<br>excision may provide tissue sparing and improved<br>tumour clearance. |

### Professional Consultation

Feedback was obtained through a brief online survey of healthcare professionals and other stakeholders who are the intended users of the guideline. All surgeons and plastic surgeons with an interest in head and neck, as well as any clinicians with an interest in head and neck, melanoma, or skin in the PEBC database were contacted by email to inform them of the survey. Sixty-five professionals were contacted, all of which practice in Ontario. Nine (13.8%) responses were received. Three stated that they were no longer in active practice and one was not willing to participate. The results of the feedback survey from five people (four

plastic surgeons and one dermatologist) are summarized in Table 5-5. The main comments from the consultation and the Working Group's responses are summarized in Table 5-6.

|  | N=5 (7.7%)  |     |     |     |                           |
|--|---|-----|-----|-----|---------------------------|
| General Questions: Overall Guideline<br>Assessment                                   | Lowest<br>Quality<br>(1)  | (2) | (3) | (4) | Highest<br>Quality<br>(5) |
| 1. Rate the overall quality of the guideline report.                                 | 0   | 1   | 0   | 3   | 1                         |
|  | Strongly<br>Disagree<br>(1)   | (2) | (3) | (4) | Strongly<br>Agree<br>(5)  |
| 2. I would make use of this guideline in my professional decisions.                  | 0   | 1   | 1   | 2   | 1                         |
| 3. I would recommend this guideline for use in practice.                             | 0   | 1   | 0   | 1   | 3                         |
| 4. What are the barriers or enablers to the implementation of this guideline report? | <ul> <li>Availability and access to MMS</li> <li>Lack of resources - most hospitals<br/>in Ontario do not provide MMS</li> <li>Access to Mohs training</li> </ul> |     |     |     |                           |

| Ta | ole 5-5. Responses | to four items on t | he profess | ional consultation survey. |  |
|----|--------------------|--------------------|------------|----------------------------|--|
|    |                    |                    |            |                            |  |

# Table 5-6. Modifications/Actions taken/Responses regarding main written comments from professional consultants.

| Comments   | Responses   |
|--|---|
| <ol> <li>Two reviewers commented<br/>no quality or cost-effect<br/>analysis associated with this</li> </ol>  | veness/utility techniques is beyond the scope of this guideline.  |
| <ol> <li>One reviewer commented t<br/>a primary indicator for MM<br/>out in clinical practice. The<br/>this recommendation is n<br/>and that there is no menti<br/>excision with frozen check o</li> </ol> | b is not borne<br>e evidence for<br>ot convincing<br>on of surgical H zone of the face comes from the results of an RCT.<br>While there is a lot of literature on this topic, we used<br>the highest quality of evidence available (i.e., RCTs)<br>to make recommendations. Please refer to the |

## CONCLUSION

The final guideline recommendations contained in Section 2 and summarized in Section 1 reflect the integration of feedback obtained through the external review processes with the document as drafted by the GDG Working Group and approved by the GDG Expert Panel and the PEBC RAP.

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## Appendix 1: Affiliations and Conflict of Interest Declarations

| Table A1-1: Working Group M |                            |                             |
|-----------------------------|----------------------------|-----------------------------|
| Name                        | Affiliation                | Conflict of Interest        |
| Scott Bradshaw              | Ottawa, ON                 | No conflict of interest     |
| Pathologist                 |                            | declared                    |
| Tim Hanna                   | Kingston General Hospital  | No conflict of interest     |
| Radiation Oncologist        | Kingston, ON               | declared                    |
| Rob Hekkenberg              |                            | No conflict of interest     |
| Head & Neck Surgeon         |                            | declared                    |
| Benvon Moran                | Kingston General Hospital  | No conflict of interest     |
| Dermatologist               | Kingston, ON               | declared                    |
| Mohs surgeon                |                            |                             |
| Christian Murray            | Women's College Hospital   | No conflict of interest     |
| Dermatologist               | Toronto, ON                | declared                    |
| Mohs surgeon                |                            |                             |
| -                           |                            |                             |
| Teresa Petrella             | Sunnybrook Health Sciences | No conflict of interest     |
| Medical Oncologist          | Centre                     | declared                    |
| 2                           | Toronto, ON                |                             |
| Duvaraga Sivajohanathan     | Program in Evidence-Based  | No conflict of interest     |
| Health Research             | Care, Cancer Care Ontario  | declared                    |
| Methodologist               | McMaster University        |                             |
| 5                           | Hamilton, ON               |                             |
| Nowell Solish               | Women's College Hospital   | Has received                |
| Dermatologist               | Toronto, ON                | grants/research support for |
| Mohs surgeon                |                            | nonresectable tumours with  |
| mons surgeon                |                            | a hedgehog inhibitor; has   |
|                             |                            | been a principal            |
|                             |                            | investigator for a clinical |
|                             |                            | trial with skin cancer      |
|                             |                            | patients ineligible for     |
|                             |                            | surgery and radiation;      |
| Alice Wei                   | Lead, Quality & Knowledge  | Has received \$5000 or more |
| Surgical Oncologist         | Transfer                   | to act in a consulting      |
|                             | Surgical Oncology Program, | 5                           |
|                             | Cancer Care Ontario        | capacity for Ethicon Inc.   |
|                             |                            |                             |
|                             | Toronto, ON                |                             |
|                             |                            |                             |

In accordance with the <u>PEBC Conflict of Interest (COI) Policy</u>, the guideline authors, and internal and external reviewers were asked to disclose potential conflicts of interest. The COI declared above did not disqualify any individuals from performing their designated role in the development of this guideline.

| Name                | Affiliation               | Conflict of Interest    |
|---------------------|---------------------------|-------------------------|
| Melissa Brouwers    | Program in Evidence-Based | No conflict of interest |
| Scientific Director | Care, Cancer Care Ontario | declared                |
|                     | McMaster University       |                         |
|                     | Hamilton, ON              |                         |
| Laurie Elit         | Juravinski Cancer Centre  | No conflict of interest |
| Surgeon             | Hamilton, ON              | declared                |
| Bill Evans          |                           | No conflict of interest |
| Medical Oncologist  |                           | declared                |

Table A1-2: Report Approval Panel Members

| Table A1-3: Expert Panel Merr |                                | 1                            |
|-------------------------------|--------------------------------|------------------------------|
| Name                          | Affiliation                    | Conflict of Interest         |
| Murray Allen                  | Ottawa Hospital                | Has received fee for service |
| Plastic Surgeon               | Ottawa, ON                     | OHIP and administrative      |
|                               |                                | stipend university and       |
|                               |                                | hospital; has an extensive   |
|                               |                                | practice in skin cancer but  |
|                               |                                | has not been trained in or   |
|                               |                                | practices MMS; refers and    |
|                               |                                | accept referrals from a      |
|                               |                                | Mohs surgeon                 |
| Tara Baetz                    | Cancer Centre of Southeastern  | No conflict of interest      |
| Medical Oncologist            | Ontario                        | declared                     |
|                               | Kingston, ON                   |                              |
| Elizabeth Barnes              | Sunnybrook Health Sciences     | No conflict of interest      |
| Radiation Oncologist          | Centre                         | declared                     |
|                               | Toronto, ON                    |                              |
| Salvatore (Sam) Cammisuli     | Oshawa, ON                     | No conflict of interest      |
| Dermatologist                 |                                | declared                     |
| Pablo Cano                    | Sudbury Regional Hospital      | No conflict of interest      |
| Medical Oncologist            | Sudbury, ON                    | declared                     |
| Charles Catton                | Princess Margaret Hospital     | Chair of the CCO Provincial  |
| Radiation Oncologist          | Toronto, ON                    | Sarcoma Services Oversight   |
|                               |                                | Committee                    |
| An-Wen Chan                   | Women's College Hospital       | No conflict of interest      |
| Dermatologist                 | Toronto, ON                    | declared                     |
| Mohs Surgeon                  |                                |                              |
| Alexandra Easson              | Princess Margaret Hospital     | No conflict of interest      |
| Surgeon                       | Toronto, ON                    | declared                     |
| Danny Ghazarian               | Toronto General Hospital       | No conflict of interest      |
| Pathologist                   | Toronto, ON                    | declared                     |
| Caroline Hamm                 | Windsor Regional Cancer Centre | No conflict of interest      |
| Medical Oncologist            | Windsor, ON                    | declared                     |
| Barbara Heller                | St. Joseph's Healthcare        | No conflict of interest      |
| Surgeon                       | Hamilton, ON                   | declared                     |
| Jadranka Jambrosic            | Toronto, ON                    | No conflict of interest      |
| Dermatologist/Pathologist     |                                | declared                     |

| <b>Jillian Macdonald</b><br>Dermatologist<br>Mohs Surgeon | Ottawa, ON  | No conflict of interest declared   |
|---|---|--|
| David McCready<br>Surgeon                                 | Princess Margaret Hospital<br>Toronto, ON           | No conflict of interest declared   |
| Sudha Rajagopal<br>Medical Oncologist                     | Credit Valley Hospital<br>Mississauga, ON           | No conflict of interest declared   |
| Kathryn Roth<br>Head and Neck Surgeon                     | London Regional Cancer<br>Program<br>London, ON     | Employed as Kathryn Roth<br>Medicine Professional<br>Corporation through London<br>Health Sciences Centre, St.<br>Joseph's Hospital, Western<br>University; paid in part as<br>fee-for-service through<br>OHIP for skin cancer<br>excisions and use of frozen<br>section margins where<br>appropriate, no Moh's<br>surgery offered; received<br>\$5000 or more from<br>Hoffmann-La Roche Ltd for<br>speaking, travel,<br>educational grant for my<br>department; approximately<br>60% of my clinical practice<br>is skin cancer related;<br>received educational grant<br>from Hoffmann-La Roche<br>Ltd, and Merck for support<br>of Residents' Research Day<br>for the Department of<br>Otolaryngology - Head &<br>Neck Surgery, Western<br>University; received \$5000<br>or more in educational<br>grants in my role as the<br>CPD director for our<br>department |
| Xinni Song<br>Medical Oncologist                          | Ottawa Hospital<br>Ottawa, ON                       | No conflict of interest declared   |
| John Toye<br>Plastic Surgeon                              | Orillia, ON   | No conflict of interest declared   |
| Alexander Sun<br>Radiation Oncologist                     | Princess Margaret Hospital<br>Toronto, ON           | No conflict of interest<br>declared  |
| Frances Wright<br>Surgeon                                 | Sunnybrook Health Sciences<br>Centre<br>Toronto, ON | Received a grant from<br>Roche for a neoadjuvant<br>melanoma trial   |

Table A1-4: Targeted Peer Reviewers

| Name                | Affiliation  |           |          | Conflict of Interest    |
|---------------------|--------------|-----------|----------|-------------------------|
| Oleh Antonyshyn     | Sunnybrook   | Health    | Sciences | No conflict of interest |
| Plastic Surgeon     | Centre       |           |          | declared                |
| _                   | Toronto, ON  |           |          |                         |
| Danny Enepekides    | Sunnybrook   | Health    | Sciences | No conflict of interest |
| Head & Neck Surgeon | Centre       |           |          | declared                |
|                     | Toronto, ON  |           |          |                         |
| Jensen Yeung        | Sunnybrook   | Health    | Sciences | No conflict of interest |
| Dermatologist       | Centre       |           |          | declared                |
|                     | Toronto, ON  |           |          |                         |
| David Zloty         | Vancouver Co | astal Hea | lth      | No conflict of interest |
| Dermatologist       | Vancouver, B | С         |          | declared                |
| Mohs Surgeon        |              |           |          |                         |

## Appendix 2: Literature Search Strategy

## MEDLINE

- 1 exp Mohs Surgery/
- 2 Mohs.mp.
- 3 MMS.mp.
- 4 (micrographic adj2 surgery).mp.
- 5 or/1-4
- 6 exp animals/ not humans/
- 7 5 not 6
- 8 limit 7 to english language

9 (comment or letter or editorial or note or erratum or short survey or news or newspaper article or case report or historical article).pt.

10 8 not 9

## EMBASE

- 1 exp Mohs Surgery/
- 2 Mohs.mp.
- 3 MMS.mp.
- 4 (micrographic adj2 surgery).mp.
- 5 or/1-4
- 6 exp animals/ not humans/
- 7 5 not 6
- 8 limit 7 to english language
- 9 (editorial or note or letter or short survey).pt. or letter/
- 10 8 not 9

A research question of radiation versus wide local excision was added post-hoc to this guideline. The search strategy for this question is below.

## MEDLINE

- 1 (systematic adj (review: or overview:)).mp.
- 2 (meta-analy: or metaanaly:).mp.

3 (pooled analy: or statistical pooling or mathematical pooling or statistical summar: or mathematical summar: or quantitative synthes?s or quantitative overview:).mp.

4 (exp review literature as topic/ or review.pt. or exp review/) and systematic.tw.

5 (cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinhal or cinahl or science citation index or scisearch or bids or sigle or cancerlit or pubmed or pub-med or medline or med-line).ab.

6 (reference list: or bibliograph: or hand-search: or handsearch: or relevant journal: or manual search:).ab.

7 or/1-6

8 (selection criteria or data extract: or quality assess: or jadad score or jadad scale or methodologic:quality).ab.

- 9 (stud: adj1 select:).ab.
- 10 (8 or 9) and review.pt.

- 11 7 or 10
- 12 (guideline or practice guideline).pt.
- 13 exp consensus development conference/
- 14 consensus/
- 15 (guideline: or recommend: or consensus or standards).ti.
- 16 or/12-15
- 17 11 or 16
- 18 exp Melanoma/
- 19 melanoma.mp.
- 20 exp Carcinoma, Basal Cell/
- 21 (basal adj3 cell adj3 carcino\$).mp.
- 22 exp Carcinoma, Squamous Cell/
- 23 (squamous adj3 cell adj3 carcino\$).mp.
- 24 exp Carcinoma, Merkel Cell/
- 25 (Merkel adj3 cell adj3 carcino\$).mp.
- 26 BCC.tw.
- 27 SCC.tw.
- 28 MCC.tw.
- 29 exp Hutchinson's Melanotic Freckle/
- 30 (lentigo adj maligna).mp.
- 31 exp Dermatofibrosarcoma/
- 32 (dermatofibrosarcoma adj protuberans).mp.
- 33 exp Sebaceous Gland Neoplasms/
- 34 (sebaceus adj carcinoma).mp.
- 35 exp Sweat Gland Neoplasms/
- 36 (microcystic adj adnexal adj carcino\$).mp.
- 37 (atypical adj fibroxanthoma).mp.
- 38 (eccrine adj carcinoma).mp.
- 39 exp Paget Disease, Extramammary/
- 40 (extramammary adj2 Paget\$).mp.
- 41 leiomyosarcoma.mp.
- 42 (primary adj5 cutaneous adj5 adenocarcino\$).mp.
- 43 or/18-42
- 44 (wide adj local adj excision).mp.
- 45 WLE.mp.
- 46 exp General Surgery/
- 47 surgery.mp.
- 48 or/44-47
- 49 exp Radiotherapy/
- 50 exp Radiation/
- 51 radiation.mp.
- 52 radiotherapy.mp.
- 53 or/49-52
- 54 43 and 48 and 53
- 55 exp animals/ not humans/
- 56 54 not 55
- 57 limit 56 to english language

58 (comment or letter or editorial or note or erratum or short survey or news or newspaper article or case report or historical article).pt.

59 57 not 58

60 59 not 17

61 limit 60 to yr=1990-2016

## EMBASE

1 (systematic adj (review: or overview:)).mp.

2 (meta-analy: or metaanaly:).mp.

3 (pooled analy: or statistical pooling or mathematical pooling or statistical summar: or mathematical summar: or quantitative synthes?s or quantitative overview:).mp.

4 (exp review literature as topic/ or review.pt. or exp review/) and systematic.tw.

5 (cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinhal or cinahl or science citation index or scisearch or bids or sigle or cancerlit or pubmed or pub-med or medline or med-line).ab.

6 (reference list: or bibliograph: or hand-search: or handsearch: or relevant journal: or manual search:).ab.

7 or/1-6

8 (selection criteria or data extract: or quality assess: or jadad score or jadad scale or methodologic:quality).ab.

9 (stud: adj1 select:).ab.

- 10 (8 or 9) and review.pt.
- 11 7 or 10
- 12 consensus development conference/
- 13 practice guideline/
- 14 \*consensus development/ or \*consensus/
- 15 \*standard/
- 16 (guideline: or recommend: or consensus or standards).kw.
- 17 (guideline: or recommend: or consensus or standards).ti.
- 18 or/12-17
- 19 11 or 18
- 20 exp Melanoma/
- 21 melanoma.mp.
- 22 exp basal cell carcinoma/
- 23 (basal adj3 cell adj3 carcino\$).mp.
- 24 exp squamous cell carcinoma/
- 25 (squamous adj3 cell adj3 carcino\$).mp.
- 26 exp Merkel cell tumour/
- 27 (Merkel adj3 cell adj3 carcino\$).mp.
- 28 BCC.tw.
- 29 SCC.tw.
- 30 MCC.tw.
- 31 exp malignant lentigo/
- 32 (lentigo adj maligna).mp.
- 33 exp dermatofibrosarcoma/
- 34 (dermatofibrosarcoma adj protuberans).mp.
- 35 exp sebaceous carcinoma/
- 36 (sebaceous adj carcinoma).mp.
- 37 exp sweat gland carcinoma/
- 38 (microcystic adj adnexal adj carcino\$).mp.
- 39 exp fibroxanthoma/
- 40 (atypical adj fibroxanthoma).mp.

- 41 (eccrine adj carcinoma).mp.
- 42 exp Paget skin disease/
- 43 (extramammary adj2 Paget\$).mp.
- 44 exp leiomyosarcoma/
- 45 leiomyosarcoma.mp.
- 46 (primary adj5 cutaneous adj5 adenocarcino\$).mp.
- 47 or/20-46
- 48 exp Wide Excision/
- 49 (wide adj local adj excision).mp.
- 50 WLE.mp.
- 51 surgery.mp.
- 52 or/48-51
- 53 exp Radiotherapy/
- 54 exp Radiation/
- 55 radiation.mp.
- 56 radiotherapy.mp.
- 57 or/53-56
- 58 47 and 52 and 57
- 59 58 not 19
- 60 exp animals/ not humans/
- 61 59 not 60
- 62 limit 61 to english language
- 63 (editorial or note or letter or short survey).pt. or abstract report/ or letter/ or case study/
- 64 62 not 63
- 65 limit 64 to yr=1990-2016

## Appendix 3: PRISMA Flow Diagram



## Appendix 4: Quality Assessment of Randomized Controlled Trials

| Study   | Allocation<br>concealment | Randomization<br>method  | Primary<br>outcome    | Statistical power and<br>required sample size   | Blinding | ITT<br>analysis | Loss to follow-<br>up (# of pts)   | Free of selective<br>outcome<br>reporting | Industry<br>funding | Terminated<br>early  |
|---|---------------------------|--|-----------------------|---|----------|-----------------|--|---|---------------------|--|
| Smeets<br>NWJ<br>(2004)<br>[4]<br>Mosterd<br>K (2008)<br>[6]<br>Van loo E<br>(2014)<br>[8]<br>Essers B<br>(2007)<br>[5] | Yes                       | A computer<br>programme<br>(Sampsize 2.0)<br>randomly assigned<br>patients to each<br>group.   | Recurrence<br>rate    | 90% power to detect a 6.5%<br>difference in RR of primary<br>BCC (MMS 1.5% vs. SE 8.0%)<br>and a 13.5% difference in<br>RR of recurrent BCC (MMS<br>3.5% vs. SE 17.0%), one<br>sided<br>α= 0.05; 408 pts with<br>primary and 204 pts with<br>recurrent tumours were<br>needed | No       | No              | 205 tumours<br>lost to follow-<br>up at 5 years<br>and 380<br>tumours lost to<br>follow-up at 10<br>years. | No  | No                  | No   |
| Muller<br>FM<br>(2009)<br>[7]   | Yes                       | Opaque sealed<br>envelopes containing<br>the word "Mohs" or<br>"Standard" written<br>on a piece of paper<br>were mixed together<br>and an envelope was<br>picked after a<br>patient had given<br>informed consent to<br>the study. | Size of the<br>defect | <ul> <li>90% power to detect a significant difference of 10% in diameters, two sided α= 0.05; 80 patients needed</li> <li>Using the same assumptions, with 30 patients, we could see a 20% difference</li> </ul>  | No       | Yes             | No   | No  | No                  | Yes, because the<br>predetermined<br>stopping rule was<br>met (i.e., the<br>mean defect<br>diameter in one<br>group was<br>greater than 1.5<br>times that in the<br>other group) |

Table A4-1: Quality Assessment of Randomized Controlled Trials

Abbreviations: BCC, basal cell carcinoma; ITT, intention to treat; MMS, Mohs micrographic surgery; pts, patients; RR, relative risk; SE, surgical excision

## Guideline 8-11

# Appendix 5: Evaluation of Non-Randomized Comparative Studies using Cochrane's ROBINS-I

| Table A3-1. Evaluation (    | J Included no           |  | comparative                             |  | g cociliane s            |                                    |   |          |
|-----------------------------|-------------------------|--|---|--|--------------------------|------------------------------------|---|----------|
| Study                       | Bias due to confounding | Bias in selection of<br>participants into the<br>study | Bias in classification of interventions | Bias due to departures<br>from intended<br>interventions | Bias due to missing data | Bias in measurement of<br>outcomes | Bias in selection of the<br>reported result | Overall  |
| Ang GC (2009)               | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| [17]                        |                         |  |   |  |                          |                                    |   |          |
| Babington S (2003)<br>[2]   | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Low      |
| Bordeaux JS (2016)<br>[26]  | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| Chin-Lenn L (2013)<br>[19]  | Serious                 | Low  | Low                                     | Low  | Moderate                 | Low                                | Low   | Serious  |
| Chren MM (2004)<br>[28]     | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| Cook Jr. BE (1999)<br>[10]  | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Low      |
| de Visscher J (1999)<br>[1] | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Moderate |
| Hansen JP (2008)<br>[31]    | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Low      |
| Hou JL (2014)<br>[18]       | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| Jebodhsingh KN<br>[32]      | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| Lowe GC (2016)<br>[15]      | Serious                 | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Serious  |
| Nosrati A (2017)<br>[20]    | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Moderate |
| O'Neill J (2014)<br>[30]    | Moderate                | Low  | Low                                     | Low  | Low                      | Low                                | Low   | Moderate |

| Paradisi A (2008)        | Serious  | Low      | Low | Low | Low | Low | Low | Serious  |
|--------------------------|----------|----------|-----|-----|-----|-----|-----|----------|
| [14]                     |          |          |     |     |     |     |     |          |
| Sarachev E (2001)        | Moderate | Moderate | Low | Low | Low | Low | Low | Moderate |
| [3]                      |          |          |     |     |     |     |     |          |
| van der Eerden PA (2010) | Serious  | Low      | Low | Low | Low | Low | Low | Serious  |
| [11]                     |          |          |     |     |     |     |     |          |
| Veronese F (2017)        | Moderate | Low      | Low | Low | Low | Low | Low | Low      |
| [16]                     |          |          |     |     |     |     |     |          |

Abbreviations: ROBINS-I, Cochrane Risk Of Bias In Non-randomized Studies of Interventions